INITIAL
End Date: 7/27/2018
Firm Name: WEKBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/30/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between:and; or

d. Percentage range of customer segregated funds required between:% and%.

WEDBUSH SECURITIES INC

13,000,000

0

0 0

0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between:and; or

d. Percentage range of customer secured funds required between:% and%.

1,000,000

0

0 0

0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between:and; or

d. Percentage range of cleared swaps customer collateral required between:% and%.

0

0

0 0

0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: **0** [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: **10,460,881** [7315]
   - **B. Securities (at market)**: **0** [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: **681,475** [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: **0** [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: **0** [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: **11,142,356** [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: **2,748** [7351]
   - Less: amount offset by customer owned securities: **2,748** [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: **11,145,104** [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: **11,145,104** [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: **1,128,565** [7500]
   - **B. Other banks qualified under Regulation 30.7**: **717,788** [7520] **1,846,353** [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: **0** [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: **0** [7560] **0** [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: **5,457,492** [7580]
   - **B. Securities**: **0** [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: **0** [7600]
   - **D. Value of long option contracts**: **0** [7610]
   - **E. Value of short option contracts**: **0** [7615] **5,457,492** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: **6,661,513** [7640]
   - **B. Securities**: **0** [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: **0** [7660]
   - **D. Value of long option contracts**: **0** [7670]
   - **E. Value of short option contracts**: **0** [7675] **6,661,513** [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: **604,588** [7700]
   - **B. Securities**: **0** [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: **0** [7720]
   - **D. Value of long option contracts**: **0** [7730]
   - **E. Value of short option contracts**: **0** [7735] **604,588** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: **0** [7760]

7. **Segregated funds on hand**: **0** [7765]

8. **Total funds in separate section 30.7 accounts**: **14,569,946** [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: **3,424,842** [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: **1,000,000** [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: **2,424,842** [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 1,006,691,194 [7010]
   - **B. Securities (at market)** 241,334,597 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 18,889,037 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 650,885,142 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -671,514,374 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,246,285,596 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,687,181 [7045]
   - **Less: amount offset by customer securities** -98,522 [7047] 1,588,659 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,247,874,255 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash** 427,681,631 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 3,290,645 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 409,919,292 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 174,151,765 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 235,781,000 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 3,060,233 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 650,885,142 [7132]
    - **B. Value of open short option contracts** -671,514,374 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 2,262,952 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,265,518,286 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 17,644,031 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 4,644,031 [7198]
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<thead>
<tr>
<th>Execution</th>
<th>Description</th>
<th>Amount</th>
</tr>
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<tbody>
<tr>
<td>1</td>
<td>Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td></td>
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<tr>
<td>B. Securities (at market)</td>
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<tr>
<td>2</td>
<td>Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8520]</td>
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<tr>
<td>3</td>
<td>Cleared swaps options</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<td>Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<td>5</td>
<td>Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
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<td>Less: amount offset by customer owned securities</td>
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<td>6</td>
<td>Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<td>Deposited in cleared swaps customer segregated accounts at banks</td>
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<td>Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>Net settlement from (to) derivatives clearing organizations</td>
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<td>Cleared swaps options</td>
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<td>A. Value of open cleared swaps long option contracts</td>
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<td>B. Value of open cleared swaps short option contracts</td>
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<td>Net equities with other FCMs</td>
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<td>Cleared swaps customer funds on hand</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14</td>
<td>Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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