INITIAL
End Date: 7/30/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/31/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

13,000,000

b. Minimum percentage of customer segregated funds required: % ; or

0

c. Dollar amount range between: and; or

0 0
d. Percentage range of customer segregated funds required between: % and%.

0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

1,000,000

b. Minimum percentage of customer secured funds required: % ; or

0

c. Dollar amount range between: and; or

0 0
d. Percentage range of customer secured funds required between: % and%.

0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

0

b. Minimum percentage of cleared swaps customer collateral required: % ; or

0

c. Dollar amount range between: and; or

0 0
d. Percentage range of cleared swaps customer collateral required between: % and%.

0 0

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: $10,310,264 [7315]
   - **B. Securities (at market)**: $0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $861,704 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: $0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: $0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $11,171,968 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: $1,684 [7351]
   - **Less: amount offset by customer owned securities**: $0 [7352] $1,684 [7354]
   - **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $11,173,652 [7355]

6. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: $11,173,652 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: $1,119,936 [7500]
   - **B. Other banks qualified under Regulation 30.7**: $720,830 [7520] $1,840,766 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: $0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: $0 [7560] $0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: $5,718,264 [7580]
   - **B. Securities**: $0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: $0 [7600]
   - **D. Value of long option contracts**: $0 [7610]
   - **E. Value of short option contracts**: $0 [7615] $5,718,264 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: $6,727,988 [7640]
   - **B. Securities**: $0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: $0 [7660]
   - **D. Value of long option contracts**: $0 [7670]
   - **E. Value of short option contracts**: $0 [7675] $6,727,988 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: $447,089 [7700]
   - **B. Securities**: $0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: $0 [7720]
   - **D. Value of long option contracts**: $0 [7730]
   - **E. Value of short option contracts**: $0 [7735] $447,089 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: $0 [7760]

7. **Segregated funds on hand**: $0 [7765]

8. **Total funds in separate section 30.7 accounts**: $14,734,107 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $3,560,455 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $2,560,455 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**  
   - **A. Cash** \(970,435,200\) [7010]  
   - **B. Securities (at market)** \(246,282,503\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** \(37,776,064\) [7030]

3. **Exchange traded options**  
   - **A. Add market value of open option contracts purchased on a contract market** \(673,692,907\) [7032]  
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** \(-688,615,080\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** \(1,239,571,594\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** \(1,519,620\) [7045]  
   - **Less: amount offset by customer securities** \(-95,317\) [7047] \(1,424,303\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)** \(1,240,995,897\) [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**  
   - **A. Cash** \(421,241,074\) [7070]  
   - **B. Securities representing investments of customers' funds (at market)** \(30,000,000\) [7080]  
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** \(8,290,645\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**  
   - **A. Cash** \(394,481,149\) [7100]  
   - **B. Securities representing investments of customers' funds (at market)** \(174,178,663\) [7110]  
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** \(235,729,000\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** \(7,771,292\) [7130]

10. **Exchange traded options**  
    - **A. Value of open long option contracts** \(673,692,907\) [7132]  
    - **B. Value of open short option contracts** \(-688,615,080\) [7133]

11. **Net equities with other FCMs**  
    - **A. Net liquidating equity** \(0\) [7140]  
    - **B. Securities representing investments of customers' funds (at market)** \(0\) [7160]  
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** \(0\) [7170]

12. **Segregated funds on hand** \(2,262,858\) [7150]

13. **Total amount in segregation (add lines 7 through 12)** \(1,259,032,508\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** \(18,036,611\) [7190]

15. **Management Target Amount for Excess funds in segregation** \(13,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**  
    - **Excess** \(5,036,611\) [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]