INITIAL
End Date: 7/31/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/1/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or  \(13,000,000\)
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 10,373,063 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 892,987 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,266,050 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,636 [7351]
Less: amount offset by customer owned securities 0 [7352] 1,636 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,267,686 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,267,686 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 981,402 [7500]
   B. Other banks qualified under Regulation 30.7 720,550 [7520] 1,701,952 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,663,178 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,663,178 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,765,811 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,765,811 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 608,374 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 608,374 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,739,315 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,471,629 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,471,629 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**: $991,596,790 (7010)
   - **B. Securities (at market)**: $246,526,881 (7020)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $45,478,186 (7030)

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $662,273,712 (7032)
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $699,359,364 (7033)

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,246,516,205 (7040)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,435,667 (7045)
   - **Less: amount offset by customer securities**: $92,043 (7047) $1,343,624 (7050)

6. **Amount required to be segregated (add lines 4 and 5)**: $1,247,859,829 (7060)

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $430,022,380 (7070)
   - **B. Securities representing investments of customers' funds (at market)**: $30,000,000 (7080)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $3,540,645 (7090)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $420,962,068 (7100)
   - **B. Securities representing investments of customers' funds (at market)**: $174,184,183 (7110)
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $240,723,378 (7120)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $1,522,921 (7130)

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $662,273,712 (7132)
    - **B. Value of open short option contracts**: $699,359,364 (7133)

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 (7140)
    - **B. Securities representing investments of customers' funds (at market)**: $0 (7160)
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 (7170)

12. **Segregated funds on hand**: $2,262,858 (7150)

13. **Total amount in segregation (add lines 7 through 12)**: $1,266,132,781 (7180)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $18,272,952 (7190)

15. **Management Target Amount for Excess funds in segregation**: $13,000,000 (7194)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $5,272,952 (7198)
# Daily Segregation - Swaps Statement

## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - 0 [8770]