INITIAL
End Date: 8/2/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/3/2018
INITIAL
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 9,811,410 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,294,248 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,105,658 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,542 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,108,200 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,108,200 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**: 636,779 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 1,123,699 [7520] 1,760,478 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 5,867,114 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 5,867,114 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 6,363,665 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 6,363,665 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 659,862 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 659,862 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,651,119 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,542,919 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,542,919 [7785]
### Daily Segregation - Segregation Statement

#### SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - \( 988,740,085 \) [7010]
   - **B. Securities (at market)**
     - \( 246,499,571 \) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \( 20,505,609 \) [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - \( 677,234,477 \) [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - \( -715,293,303 \) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \( 1,217,686,439 \) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \( 1,417,057 \) [7045]
   - **Less: amount offset by customer securities**
     - \( -96,451 \) [7047]
   - **1,320,606** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - \( 1,219,007,045 \) [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - \( 458,668,122 \) [7070]
   - **B. Securities representing investments of customers' funds (at market)**
     - \( 30,000,000 \) [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \( 3,530,645 \) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - \( 402,692,486 \) [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - \( 174,209,858 \) [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - \( 240,723,423 \) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \( -30,535,803 \) [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - \( 677,234,477 \) [7132]
    - **B. Value of open short option contracts**
      - \( -715,293,303 \) [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - \( 0 \) [7140]
    - **B. Securities representing investments of customers' funds (at market)**
      - \( 0 \) [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - \( 0 \) [7170]

12. **Segregated funds on hand**
    - \( 2,245,503 \) [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - \( 1,243,475,408 \) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \( 24,468,363 \) [7190]

15. **Management Target Amount for Excess funds in segregation**
    - \( 13,000,000 \) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - \( 11,468,363 \) [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash                             0 [8500]
   B. Securities (at market)           0 [8510]

2. Net unrealized profit (loss) in open cleared swaps                      0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased      0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)                          0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash                             0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash                             0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations                 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts                    0 [8670]
    B. Value of open cleared swaps short option contracts                   0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity                                                0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash                                                               0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]