INITIAL
End Date: 8/3/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/6/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 9,976,939 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,157,341 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,134,280 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,098 [7352] 3,098 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,137,378 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,137,378 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 678,359 [7500]
   B. Other banks qualified under Regulation 30.7 1,124,179 [7520] 1,802,538 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,920,733 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,920,733 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,272,932 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,272,932 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 689,376 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 689,376 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,685,579 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,548,201 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,548,201 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 965,256,358 [7010]
   B. Securities (at market) 246,509,610 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 34,013,794 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 724,335,263 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -714,226,184 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,255,888,841 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 2,373,983 [7045]
   Less: amount offset by customer securities -94,602 [7047] 2,279,381 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,258,168,222 [7060]

FUNDS IN SEREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 432,521,598 [7070]
   B. Securities representing investments of customers’ funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 3,530,645 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 391,512,282 [7100]
   B. Securities representing investments of customers’ funds (at market) 174,222,910 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 240,723,423 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -7,916,867 [7130]

10. Exchange traded options
    A. Value of open long option contracts 724,335,263 [7132]
    B. Value of open short option contracts -714,226,184 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,255,542 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,276,958,612 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 18,790,390 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 5,790,390 [7198]
<table>
<thead>
<tr>
<th>cleared swaps customer segregation requirements and funds in cleared swaps customer accounts under 4d(f) of the cea</th>
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1. cleared swaps customer requirements

   1. net ledger balance
      a. cash: 0 [8500]
      b. securities (at market): 0 [8510]

   2. net unrealized profit (loss) in open cleared swaps: 0 [8520]

   3. cleared swaps options
      a. market value of open cleared swaps option contracts purchased: 0 [8530]
      b. market value of open cleared swaps option contracts granted (sold): 0 [8540]

   4. net equity (deficit) (add lines 1, 2, and 3): 0 [8550]

   5. accounts liquidating to a deficit and accounts with debit balances - gross amount
      less: amount offset by customer owned securities: 0 [8560] 0 [8580]

   6. amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

7. deposited in cleared swaps customer segregated accounts at banks

   a. cash: 0 [8600]
   b. securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   c. securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts

   a. cash: 0 [8630]
   b. securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   c. securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. net settlement from (to) derivatives clearing organizations: 0 [8660]

10. cleared swaps options

    a. value of open cleared swaps long option contracts: 0 [8670]
    b. value of open cleared swaps short option contracts: 0 [8680]

11. net equities with other fcms

    a. net liquidating equity: 0 [8690]
    b. securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    c. securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. cleared swaps customer funds on hand

    a. cash: 0
    b. securities representing investments of cleared swaps customers' funds (at market): 0
    c. securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. management target amount for excess funds in cleared swaps segregated accounts: 0 [8760]

16. excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management: 0 [8770]