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INITIAL End Date:8/3/2018 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:8/6/2018 INITIAL End Date:8/3/2018 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:8/6/2018 Daily Segregation - Cover Page

Name of Company	WEDBUSH SECURITIES INC
Contact Name	<u>Kevin Wirth</u>
Contact Phone Number	<u>312-784-0475</u>
Contact Email Address	kevin.wirth@wedbush.com
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	<u>13,000,000</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u>
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	<u>1,000,000</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:% ; or c. Dollar amount range between:and; or	0 0 0 0

<u>0 0</u>

d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents

INITIAL End Date:8/3/2018 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:8/6/2018 Daily Segregation - Secured Amounts Foreign Futures and Foreign Options Secured Amounts Amount required to be set aside pursuant to law, rule or regulation of a foreign

government or a rule of a self-regulatory organization authorized thereunder
 Net ledger balance - Foreign Futures and Foreign Option Trading - All Customer

Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers A. Cash

- B. Securities (at market)
- Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
 Exchange traded options
 - a. Market value of open option contracts purchased on a foreign board of trade
 - b. Market value of open contracts granted (sold) on a foreign board of trade
- 4. Net equity (deficit) (add lines 1. 2. and 3.)
- 5. Account liquidating to a deficit and account with a debit balances gross amount Less: amount offset by customer owned securities
- 6. Amount required to be set aside as the secured amount Net Liquidating Equity Method (add lines 4 and 5)
- Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
 6.

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- 1. Cash in banks
 - A. Banks located in the United States
 - B. Other banks qualified under Regulation 30.7
- 2. Securities
 - A. In safekeeping with banks located in the United States
 - B. In safekeeping with other banks qualified under Regulation 30.7
- 3. Equities with registered futures commission merchants
 - A. Cash
 - B. Securities
 - C. Unrealized gain (loss) on open futures contracts
 - D. Value of long option contracts
 - E. Value of short option contracts
- 4. Amounts held by clearing organizations of foreign boards of trade
 - A. Cash
 - B. Securities
 - C. Amount due to (from) clearing organization daily variation
 - D. Value of long option contracts
 - E. Value of short option contracts
- 5. Amounts held by members of foreign boards of trade
 - A. Cash
 - B. Securities
 - C. Unrealized gain (loss) on open futures contracts
 - D. Value of long option contracts
 - E. Value of short option contracts
- 6. Amounts with other depositories designated by a foreign board of trade
- 7. Segregated funds on hand
- 8. Total funds in separate section 30.7 accounts
- 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
- 10. Management Target Amount for Excess funds in separate section 30.7 accounts
- 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

- <u>11,137,378</u> [7355] <u>11,137,378</u> [7360] <u>678,359</u> [7500] <u>1,124,179</u> [7520] <u>1,802,538</u> [7530] <u>0</u> [7540]
- <u>0</u> [7560] <u>0</u> [7570]

0 [7305]

0 [7317]

0 [7335]

<u>0</u> [7337]

9,976,939 [7315]

1,157,341 [7325]

11,134,280 [7345]

0 [7352] 3,098 [7354]

3,098 [7351]

- 5,920,733 [7580] 0 [7590] 0 [7600] 0 [7610] 0 [7615] 5,920,733 [7620]
- <u>6,272,932</u> [7640] <u>0</u> [7650] <u>0</u> [7660] <u>0</u> [7670] <u>0</u> [7675] <u>6,272,932</u> [7680]
- 689,376 [7700] 0 [7710] 0 [7720] 0 [7730] 0 [7735] 689,376 [7740] 0 [7760] 0 [7765] 14,685,579 [7770] 3,548,201 [7380]
- 1,000,000 [7780] 2,548,201 [7785]

INIT	IAL	
End	Date:8/3/2018	
Firm	Name:WEDBUSH SECURITIES INC	
Forr	n:Daily Seg - FOCUS II	
	mit Date:8/6/2018	
Dail	y Segregation - Segregation Statement	
	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)	
1.	Net ledger balance	
	A. Cash	<u>965,256,358</u> [7010]
	B. Securities (at market)	<u>246,509,610</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>34,013,794</u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u>724,335,263</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-714,226,184</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>1,255,888,841</u> [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	2,373,983 [7045]
	Less: amount offset by customer securities	<u>-94,602</u> [7047] <u>2,279,381</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>1,258,168,222</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	432,521,598 [7070]
	B. Securities representing investments of customers' funds (at market)	<u>30,000,000</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>3,530,645</u> [7090]
_	market)	
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>391,512,282</u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u>174,222,910</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at	240,723,423 [7120]
0	market)	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>-7,916,867</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>724,335,263</u> [7132]
11	B. Value of open short option contracts	<u>-714,226,184</u> [7133]
11.	Net equities with other FCMs	0 [74.40]
	A. Net liquidating equity	<u>0</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>0</u> [7170]
12.	market) Segregated funds on hand	2 255 542 [7150]
12.	Total amount in segregation (add lines 7 through 12)	<u>2,255,542</u> [7150] 1 276 958 612 [7180]
13. 14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>1,276,958,612</u> [7180] 18 790 390 [7190]
15.	Management Target Amount for Excess funds in segregation	<u>18,790,390</u> [7190] <u>13,000,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>13,000,000</u> [7194] <u>5,790,390</u> [7198]
10.	Excess (denciency) funds in segregation over (under) Management rarget Amount	<u>0,190,090</u> [1190]

INITIAL End Date:8/3/2018 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:8/6/2018 Daily Segregation - Swaps Statement

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA Cleared Swaps Customer Requirements 1. Net ledger balance A. Cash 0 [8500] B. Securities (at market) 0 [8510] 2. Cleared Swaps options 0 [8530] A. Market value of open cleared swaps option contracts purchased 0 [8530] B. Market value of open cleared swaps option contracts granted (sold) 0 [8570] 6. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8570] 7. Accounts liquidating to a deficit and accounts with 0 [8570] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590] 7. Deposited in cleared swaps customer Segregated Accounts 0 [8600] 8. Securities representing investments of cleared swaps customers in lieu of cash (at market) 0 [8620] 9. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 0 [8630] A. Cash 0 [8640] 0 [8650] 9. Net settiment from (to) derivatives clearing organizations 0 [8620] 0. Cleared swaps options 0 [8660] 0. Cleared swaps options 0 [8660] 0. Cleared swaps customers in lieu of cash (at market) 0 [8620] 0. Nargins on deposit		AND	
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS