INITIAL
End Date: 8/6/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/7/2018
Name of Company
WEDBUSH SECURITIES INC

Contact Name
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or  
   13,000,000
b. Minimum percentage of customer segregated funds required:% ; or  
   0

c. Dollar amount range between:and; or  
   0 0
d. Percentage range of customer segregated funds required between:% and%.  
   0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or  
   1,000,000
b. Minimum percentage of customer secured funds required:% ; or  
   0

c. Dollar amount range between:and; or  
   0 0
d. Percentage range of customer secured funds required between:% and%.  
   0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or  
   0
b. Minimum percentage of cleared swaps customer collateral required:% ; or  
   0

c. Dollar amount range between:and; or  
   0 0
d. Percentage range of cleared swaps customer collateral required between:% and%.  
   0 0

Attach supporting documents
### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 9,720,396 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 1,258,768 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 10,979,164 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,960 [7351]

   - Less: amount offset by customer owned securities: 0 [7352] 2,960 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 10,982,124 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 10,982,124 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 384,098 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,121,316 [7520] 1,505,414 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,033,041 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,033,041 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,292,395 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,292,395 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 700,803 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 700,803 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,531,653 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,549,529 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,549,529 [7785]
**Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash: 985,554,372
   - B. Securities (at market): 247,482,105

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 23,643,465

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 731,348,974
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -722,128,483

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,265,900,433

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,436,846
   - Less: amount offset by customer securities: -98,392
   - 1,338,454

6. **Amount required to be segregated (add lines 4 and 5)**: 1,267,238,887

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - A. Cash: 437,898,603
   - B. Securities representing investments of customers’ funds (at market): 30,000,000
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,890,645

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 391,545,916
   - B. Securities representing investments of customers’ funds (at market): 174,247,803
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 243,350,916

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 3,193,106

10. **Exchange traded options**
    - A. Value of open long option contracts: 731,348,974
    - B. Value of open short option contracts: -722,128,483

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers’ funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. **Segregated funds on hand**: 2,240,544

13. **Total amount in segregation (add lines 7 through 12)**: 1,287,201,812

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 19,962,925

15. **Management Target Amount for Excess funds in segregation**: 13,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess**: 6,962,925

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**End Date:** 8/6/2018  
**Firm Name:** WEDBUSH SECURITIES INC  
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**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8550]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8660]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - 0 [8770]