INITIAL
End Date: 8/10/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/13/2018
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: $10,187,710 (7315)
   - B. Securities (at market): $0 (7317)

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $1,123,866 (7325)

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: $0 (7335)
   - b. Market value of open contracts granted (sold) on a foreign board of trade: $0 (7337)

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $11,311,576 (7351)

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: $5,405 (7352)
   - Less: amount offset by customer owned securities: $0 (7353)

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $11,316,981 (7355)

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: $11,316,981 (7360)

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: $562,166 (7500)
   - B. Other banks qualified under Regulation 30.7: $983,095 (7520)

2. **Securities**
   - A. In safekeeping with banks located in the United States: $0 (7540)
   - B. In safekeeping with other banks qualified under Regulation 30.7: $0 (7560)

3. **Equities with registered futures commission merchants**
   - A. Cash: $5,860,876 (7580)
   - B. Securities: $0 (7590)
   - C. Unrealized gain (loss) on open futures contracts: $0 (7600)
   - D. Value of long option contracts: $0 (7610)
   - E. Value of short option contracts: $0 (7615)

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: $6,184,042 (7640)
   - B. Securities: $0 (7650)
   - C. Amount due to (from) clearing organization - daily variation: $0 (7660)
   - D. Value of long option contracts: $0 (7670)
   - E. Value of short option contracts: $0 (7675)

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: $898,914 (7700)
   - B. Securities: $0 (7710)
   - C. Unrealized gain (loss) on open futures contracts: $0 (7720)
   - D. Value of long option contracts: $0 (7730)
   - E. Value of short option contracts: $0 (7735)

6. **Amounts with other depositories designated by a foreign board of trade**: $0 (7760)

7. **Segregated funds on hand**: $0 (7765)

8. **Total funds in separate section 30.7 accounts**: $14,489,093 (7770)

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $3,172,112 (7780)

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000 (7780)

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $2,172,112 (7785)
**SEgregation Requirements (Section 4d(2) of the CEAct)**

1. **Net Ledger Balance**
   - **Cash**: 1,001,838,454 [7010]
   - **Securities (at market)**: 252,666,647 [7020]

2. **Net Unrealized Profit (Loss) in Open Futures Contracts Traded on a Contract Market**: 693,704 [7030]

3. **Exchange Traded Options**
   - **Add Market Value of Open Option Contracts Purchased on a Contract Market**: 710,247,168 [7032]
   - **Deduct Market Value of Open Option Contracts Granted (Sold) on a Contract Market**: -695,135,215 [7033]

4. **Net Equity (Deficit) (Add Lines 1, 2 and 3)**: 1,270,310,758 [7040]

5. **Accounts Liquidating to a Deficit and Accounts with Debit Balances - Gross Amount**: 1,753,830 [7045]
   - **Less: Amount Offset by Customer Securities**: -452,209 [7047] 1,301,621 [7050]

6. **Amount Required to Be Segregated (Add Lines 4 and 5)**: 1,271,612,379 [7060]

**Funds in Segregated Accounts**

7. **Deposited in Segregated Funds Bank Accounts**
   - **Cash**: 412,031,762 [7070]
   - **Securities Representing Investments of Customers' Funds (at Market)**: 30,000,000 [7080]
   - **Securities Held for Particular Customers or Option Customers in Lieu of Cash (at Market)**: 2,835,990 [7090]

8. **Margins on Deposit with Derivatives Clearing Organizations of Contract Markets**
   - **Cash**: 410,124,711 [7100]
   - **Securities Representing Investments of Customers' Funds (at Market)**: 174,285,643 [7110]
   - **Securities Held for Particular Customers or Option Customers in Lieu of Cash (at Market)**: 247,591,645 [7120]

9. **Net Settlement from (To) Derivatives Clearing Organizations of Contract Markets**: -3,580,647 [7130]

10. **Exchange Traded Options**
    - **Value of Open Long Option Contracts**: 710,247,168 [7132]
    - **Value of Open Short Option Contracts**: -695,135,215 [7133]

11. **Net Equities with Other FCMs**
    - **Net Liquidating Equity**: 0 [7140]
    - **Securities Representing Investments of Customers' Funds (at Market)**: 0 [7160]
    - **Securities Held for Particular Customers or Option Customers in Lieu of Cash (at Market)**: 0 [7170]

12. **Segregated Funds on Hand**: 2,239,012 [7150]

13. **Total Amount in Segregation (Add Lines 7 Through 12)**: 1,290,640,069 [7180]

14. **Excess (Deficiency) Funds in Segregation (Subtract Line 6 from Line 13)**: 19,027,690 [7190]

15. **Management Target Amount for Excess Funds in Segregation**: 13,000,000 [7194]

16. **Excess (Deficiency) Funds in Segregation Over (Under) Management Target Amount**
    - **Excess**: 6,027,690 [7198]
<table>
<thead>
<tr>
<th>Statement</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
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<tr>
<td>A. Cash</td>
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<tr>
<td>B. Securities (at market)</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
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<tr>
<td>3. Cleared swaps options</td>
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<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
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<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)</td>
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