INITIAL
End Date: 8/13/2018
Firm Name: WEADBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/14/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 13,000,000

b. Minimum percentage of customer segregated funds required: % ; or 0

c. Dollar amount range between: and; or 0 0

d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000

b. Minimum percentage of customer secured funds required: % ; or 0

c. Dollar amount range between: and; or 0 0

d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0

b. Minimum percentage of cleared swaps customer collateral required: % ; or 0

c. Dollar amount range between: and; or 0 0

d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 9,899,867 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,333,861 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,233,728 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,957 [7351]
Less: amount offset by customer owned securities 0 [7352] 1,957 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,235,685 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,235,685 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 3,837,376 [7500]
   B. Other banks qualified under Regulation 30.7 987,848 [7520] 4,825,224 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,095,238 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,095,238 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,200,800 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,200,800 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 299,129 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 299,129 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,420,391 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,184,706 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,184,706 [7785]
Segregation Requirements (Section 4d(2) of the CEA Act)

1. Net ledger balance
   A. Cash 1,016,080,943 [7010]
   B. Securities (at market) 254,799,978 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market -28,603,303 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 744,452,776 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -697,755,520 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,288,974,874 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 2,277,641 [7045]
   Less: amount offset by customer securities -756,854 [7047] 1,520,787 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,290,495,661 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   A. Cash 448,267,308 [7070]
   B. Securities representing investments of customers' funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 2,035,990 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 385,463,094 [7100]
   B. Securities representing investments of customers' funds (at market) 174,309,150 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 250,568,367 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -29,806,513 [7130]

10. Exchange traded options
    A. Value of open long option contracts 744,452,776 [7132]
    B. Value of open short option contracts -697,755,520 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,195,621 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,309,730,273 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 19,234,612 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 6,234,612 [7198]
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]