INITIAL
End Date: 8/24/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 8/27/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: $13,000,000
 b. Minimum percentage of customer segregated funds required: 0%
 c. Dollar amount range between: 0 and 0
 d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: $1,000,000
 b. Minimum percentage of customer secured funds required: 0%
 c. Dollar amount range between: 0 and 0
 d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
 a. Minimum dollar amount: $0
 b. Minimum percentage of cleared swaps customer collateral required: 0%
 c. Dollar amount range between: 0 and 0
 d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 9,159,137 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,681,049 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 1,055 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,841,241 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,509 [7351]
   Less: amount offset by customer owned securities 0 [7352] 1,509 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,842,750 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,842,750 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 2,683,592 [7500]
   B. Other banks qualified under Regulation 30.7 1,003,505 [7520] 3,687,097 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,446,431 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,446,431 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,448,205 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,448,205 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,225,039 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730] 1,055 [7730]
   E. Value of short option contracts 0 [7735] 1,226,094 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,807,827 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,965,077 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,965,077 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: $904,525,244 [7010]
   - **Securities (at market)**: $248,353,013 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **Loss**: $6,041,263 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: $719,878,153 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: $671,254,207 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **Equity**: $1,195,460,940 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: $111,926 [7047] **$1,300,149** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **Segregation**: $1,196,761,089 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**: $375,186,195 [7070]
   - **Securities representing investments of customers’ funds (at market)**: $30,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $2,141,761 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $307,307,739 [7100]
   - **Securities representing investments of customers’ funds (at market)**: $174,408,622 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $244,026,749 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **Net settlement**: $35,116,775 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: $719,878,153 [7132]
    - **Value of open short option contracts**: $671,254,207 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: $0 [7140]
    - **Securities representing investments of customers’ funds (at market)**: $0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

12. **Segregated funds on hand**
    - **Funds on hand**: $2,184,503 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **Total**: $1,218,996,290 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **Excess**: $22,235,201 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **Target Amount**: $13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $9,235,201 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUND IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]