INITIAL
End Date: 8/31/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/4/2018
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $; or $13,000,000
b. Minimum percentage of customer segregated funds required: %; or 0%
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $; or $1,000,000
b. Minimum percentage of customer secured funds required: %; or 0%
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $; or $0
b. Minimum percentage of cleared swaps customer collateral required: %; or 0%
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: $0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash:** $10,200,101 [7315]
   - **B. Securities (at market):** $0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** $2,764,051 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade:** $7,568 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade:** $0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - **Method:** $12,971,720 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities:** $0 [7352] $1,594 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - **Method:** $12,973,314 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - **Method:** $12,973,314 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States:** $3,994,261 [7500]
   - **B. Other banks qualified under Regulation 30.7:** $1,013,244 [7520] $5,007,505 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States:** $0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** $0 [7560] $0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash:** $3,627,278 [7580]
   - **B. Securities:** $0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts:** $0 [7600]
   - **D. Value of long option contracts:** $0 [7610]
   - **E. Value of short option contracts:** $0 [7615] $3,627,278 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash:** $6,606,735 [7640]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash:** $904,991 [7700]

6. **Amounts with other depositories designated by a foreign board of trade**
   - **B. Securities:** $0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts:** $0 [7720]
   - **D. Value of long option contracts:** $0 [7760]
   - **E. Value of short option contracts:** $0 [7770] $6,606,735 [7680]

7. **Segregated funds on hand**
   - **C. Amount due to (from) clearing organization - daily variation:** $0 [7660]

8. **Total funds in separate section 30.7 accounts**
   - **D. Value of long option contracts:** $0 [7670]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - **Page 1 from Line 8:** $3,180,763 [7730]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - **Management Target:** $1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - **Management Target:** $2,180,763 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash
      857,690,404 [7010]
   B. Securities (at market)
      249,779,098 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   12,380,652 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
      733,801,343 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market
      -712,340,759 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   1,141,310,738 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   1,417,642 [7045]
   Less: amount offset by customer securities
   424,567 [7047] 993,075 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   1,142,303,813 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash
      352,930,622 [7070]
   B. Securities representing investments of customers’ funds (at market)
      30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      2,141,761 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash
      322,799,620 [7100]
   B. Securities representing investments of customers’ funds (at market)
      154,490,986 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      245,464,561 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   34,046,055 [7130]

10. Exchange traded options
    A. Value of open long option contracts
       733,801,343 [7132]
    B. Value of open short option contracts
       -712,340,759 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity
       0 [7140]
    B. Securities representing investments of customers’ funds (at market)
       0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
       0 [7170]

12. Segregated funds on hand
    2,172,776 [7150]

13. Total amount in segregation (add lines 7 through 12)
    1,165,506,965 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    23,203,152 [7190]

15. Management Target Amount for Excess funds in segregation
    13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    10,203,152 [7198]

### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]