Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized therefore 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 9,497,798 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,604,346 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 3,995 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,106,139

5. Account liquidating to a deficit and account with a debit balances - gross amount 31,369 [7351]
   Less: amount offset by customer owned securities 0 [7352] 31,369 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,137,508 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,137,508 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 3,048,015 [7500]
   B. Other banks qualified under Regulation 30.7 1,007,931 [7520] 4,055,946 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,380,375 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,380,375 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,688,054 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,688,054 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 1,115,661 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 3,995 [7730]
   E. Value of short option contracts 0 [7735] 1,119,656 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,244,031 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,106,523 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,106,523 [7785]
INITIAL
End Date: 9/6/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/7/2018

**Daily Segregation - Segregation Statement**

SEgregation REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash [881,363,639] [7010]
   - B. Securities (at market) [241,448,256] [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - [-5,680,882] [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market [769,248,050] [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market [-710,890,476] [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - [1,175,488,587] [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - [1,142,363] [7045]

6. Amount required to be segregated (add lines 4 and 5)
   - [1,043,864] [7050]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash [425,742,561] [7070]
   - B. Securities representing investments of customers’ funds (at market) [30,000,000] [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) [2,141,761] [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash [277,702,739] [7100]
   - B. Securities representing investments of customers’ funds (at market) [154,538,887] [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) [237,201,520] [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - [10,933,637] [7130]

10. Exchange traded options
    - A. Value of open long option contracts [769,248,050] [7132]
    - B. Value of open short option contracts [-710,890,476] [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity [0] [7140]
    - B. Securities representing investments of customers’ funds (at market) [0] [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) [0] [7170]

12. Segregated funds on hand
    - [2,104,975] [7150]

13. Total amount in segregation (add lines 7 through 12)
    - [1,198,723,654] [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - [22,191,203] [7190]

15. Management Target Amount for Excess funds in segregation
    - [13,000,000] [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess [9,191,203] [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: \( 0 \) [8500]
   - B. Securities (at market): \( 0 \) [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   - \( 0 \) [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: \( 0 \) [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): \( 0 \) [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   - \( 0 \) [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: \( 0 \) [8560]
   - \( 0 \) [8570] \( 0 \) [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - \( 0 \) [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: \( 0 \) [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \) [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: \( 0 \) [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \) [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   - \( 0 \) [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: \( 0 \) [8670]
    - B. Value of open cleared swaps short option contracts: \( 0 \) [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \( 0 \) [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \) [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: \( 0 \)
    - B. Securities representing investments of cleared swaps customers' funds (at market): \( 0 \)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): \( 0 \) [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    - \( 0 \) [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - \( 0 \) [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    - \( 0 \) [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    - \( 0 \) [8770]