INITIAL
End Date: 9/14/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/17/2018
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $13,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \[0\] [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \[9,196,249\] [7315]
   - B. Securities (at market): \[0\] [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: \[2,610,812\] [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \[3,414\] [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \[0\] [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: \[11,810,475\] [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: \[3,606\] [7351]

6. **Less: amount offset by customer owned securities**: \[0\] [7352] \[3,606\] [7354]

7. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: \[11,814,081\] [7355]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \[2,714,608\] [7500]
   - B. Other banks qualified under Regulation 30.7: \[908,713\] [7520] \[3,623,321\] [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \[0\] [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \[0\] [7560] \[0\] [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: \[3,260,208\] [7580]
   - B. Securities: \[0\] [7590]
   - C. Unrealized gain (loss) on open futures contracts: \[0\] [7600]
   - D. Value of long option contracts: \[0\] [7610]
   - E. Value of short option contracts: \[0\] [7615] \[3,260,208\] [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \[6,803,329\] [7640]
   - B. Securities: \[0\] [7650]
   - C. Amount due to (from) clearing organization - daily variation: \[0\] [7660]
   - D. Value of long option contracts: \[0\] [7670]
   - E. Value of short option contracts: \[0\] [7675] \[6,803,329\] [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \[1,037,342\] [7700]
   - B. Securities: \[0\] [7710]
   - C. Unrealized gain (loss) on open futures contracts: \[0\] [7720]
   - D. Value of long option contracts: \[3,414\] [7730]
   - E. Value of short option contracts: \[0\] [7735] \[1,040,756\] [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: \[0\] [7760]

7. **Segregated funds on hand**: \[0\] [7765]

8. **Total funds in separate section 30.7 accounts**: \[14,727,614\] [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: \[2,913,533\] [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: \[1,000,000\] [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: \[1,913,533\] [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAcl)

1. Net ledger balance
   A. Cash                                      853,239,536 [7010]
   B. Securities (at market)                    276,159,759 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market   54,798,781 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market        782,967,084 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -744,348,458 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)                                        1,222,816,702 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount     1,181,943 [7045]
   Less: amount offset by customer securities                                        -159,773 [7047] 1,022,170 [7050]

6. Amount required to be segregated (add lines 4 and 5)                               1,223,838,872 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts                                       
   A. Cash                                      427,145,451 [7070]
   B. Securities representing investments of customers’ funds (at market)            30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,891,761 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash                                      286,696,644 [7100]
   B. Securities representing investments of customers’ funds (at market)            154,604,982 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 272,308,424 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets                 32,438,892 [7130]

10. Exchange traded options
    A. Value of open long option contracts                                                 782,967,084 [7132]
    B. Value of open short option contracts                                               -744,348,458 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity                                                            0 [7140]
    B. Securities representing investments of customers’ funds (at market)              0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand                                                            1,959,574 [7150]

13. Total amount in segregation (add lines 7 through 12)                                 1,245,664,354 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)             21,825,482 [7190]

15. Management Target Amount for Excess funds in segregation                             13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount     Excess

     8,825,482 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8570] 0 [8580]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8715]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8720]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]