INITIAL
End Date: 9/21/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/24/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>10,423,490</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>2,983,630</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>13,407,120</td>
</tr>
<tr>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>1,569</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
</tr>
<tr>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>13,408,689</td>
</tr>
<tr>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6</td>
<td></td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States                                    | 4,135,111     |
   - B. Other banks qualified under Regulation 30.7                          | 1,544,220     |
2. Securities
   - A. In safekeeping with banks located in the United States               | 0             |
   - B. In safekeeping with other banks qualified under Regulation 30.7      | 0             |
3. Equities with registered futures commission merchants
   - A. Cash                                                                  | 3,504,314     |
   - B. Securities                                                            | 0             |
   - C. Unrealized gain (loss) on open futures contracts                     | 0             |
   - D. Value of long option contracts                                       | 0             |
   - E. Value of short option contracts                                      | 0             |
4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash                                                                  | 6,421,171     |
   - B. Securities                                                            | 0             |
   - C. Amount due to (from) clearing organization - daily variation          | 0             |
   - D. Value of long option contracts                                       | 0             |
   - E. Value of short option contracts                                      | 0             |
5. Amounts held by members of foreign boards of trade
   - A. Cash                                                                  | 582,088       |
   - B. Securities                                                            | 0             |
   - C. Unrealized gain (loss) on open futures contracts                     | 0             |
   - D. Value of long option contracts                                       | 0             |
   - E. Value of short option contracts                                      | 0             |
6. Amounts with other depositories designated by a foreign board of trade    | 0             |
7. Segregated funds on hand                                                  | 0             |
8. Total funds in separate section 30.7 accounts                             | 16,186,904    |
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 2,778,215    |
10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000     |
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 1,778,215    |
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 811,137,912 [7010]
   B. Securities (at market) 276,875,027 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 81,609,180 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 788,992,099 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -910,154,935 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,148,459,283 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,135,613 [7045]
   Less: amount offset by customer securities -117,280 [7047] 1,018,333 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,149,477,616 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 389,146,973 [7070]
   B. Securities representing investments of customers' funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,910,034 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 321,593,127 [7100]
   B. Securities representing investments of customers' funds (at market) 154,577,232 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 272,982,800 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 19,373,257 [7130]

10. Exchange traded options
    A. Value of open long option contracts 788,992,099 [7132]
    B. Value of open short option contracts -910,154,935 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 1,982,193 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,170,402,780 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 20,925,164 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 7,925,164 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash                      0 [8500]
   B. Securities (at market)    0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
   C. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

4. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash                          0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash                          0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash                          0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]