INITIAL
End Date: 9/24/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/25/2018
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or
   b. Minimum percentage of customer segregated funds required:% ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer segregated funds required between:% and%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or
   b. Minimum percentage of customer secured funds required:% ; or
   c. Dollar amount range between:and; or
   d. Percentage range of customer secured funds required between:% and%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or
   b. Minimum percentage of cleared swaps customer collateral required:% ; or
   c. Dollar amount range between:and; or
   d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents
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Daily Segregation - Secured Amounts

- Foreign Futures and Foreign Options Secured Amounts
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash
      - 9,130,780 [7315]
   B. Securities (at market)
      - 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   - 2,846,758 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
      - 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
      - 0 [7337]

4. Net equity (deficit) (add lines 1, 2. and 3.)
   - 11,977,538 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - 24,226 [7352]
   Less: amount offset by customer owned securities
   - 0 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   - 12,001,764 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6
   - 12,001,764 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States
      - 2,723,517 [7500]
   B. Other banks qualified under Regulation 30.7
      - 1,548,268 [7520] 4,271,785 [7530]

2. Securities
   A. In safekeeping with banks located in the United States
      - 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
      - 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash
      - 3,519,964 [7580]
   B. Securities
      - 0 [7590]
   C. Unrealized gain (loss) on open futures contracts
      - 0 [7600]
   D. Value of long option contracts
      - 0 [7610]
   E. Value of short option contracts
      - 0 [7615] 3,519,964 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash
      - 6,416,868 [7640]
   B. Securities
      - 0 [7650]
   C. Amount due to (from) clearing organization - daily variation
      - 0 [7660]
   D. Value of long option contracts
      - 0 [7670]
   E. Value of short option contracts
      - 0 [7675] 6,416,868 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash
      - 584,181 [7700]
   B. Securities
      - 0 [7710]
   C. Unrealized gain (loss) on open futures contracts
      - 0 [7720]
   D. Value of long option contracts
      - 0 [7730]
   E. Value of short option contracts
      - 0 [7735] 584,181 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   - 0 [7760]

7. Segregated funds on hand
   - 0 [7765]

8. Total funds in separate section 30.7 accounts
   - 14,792,798 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - 2,791,034 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - 1,791,034 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $761,882,858
   - **Securities (at market)**: $282,457,621

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $97,628,448

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: $799,181,401
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -$798,415,823

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,142,734,505

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,139,450
   - **Less: amount offset by customer securities**: -$121,583
   - **1,017,867**

6. **Amount required to be segregated (add lines 4 and 5)**: $1,143,752,372

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: $384,755,015
   - **Securities representing investments of customers' funds (at market)**: $30,000,000
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $1,910,034

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: $320,906,763
   - **Securities representing investments of customers' funds (at market)**: $154,604,168
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $278,565,590

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -$8,415,038

10. **Exchange traded options**
    - **Value of open long option contracts**: $799,181,401
    - **Value of open short option contracts**: -$798,415,823

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0
    - **Securities representing investments of customers' funds (at market)**: 0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. **Segregated funds on hand**: $1,981,997

13. **Total amount in segregation (add lines 7 through 12)**: $1,165,074,107

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $21,321,735

15. **Management Target Amount for Excess funds in segregation**: $13,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $8,321,735
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS 
AND 
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA 

Cleared Swaps Customer Requirements 

1. Net ledger balance 
   A. Cash 0 [8500] 
   B. Securities (at market) 0 [8510] 

2. Net unrealized profit (loss) in open cleared swaps 0 [8520] 

3. Cleared swaps options 
   A. Market value of open cleared swaps option contracts purchased 0 [8530] 
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540] 

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550] 

5. Accounts liquidating to a deficit and accounts with 
debit balances - gross amount 0 [8560] 
Less: amount offset by customer owned securities 0 [8570] 0 [8580] 

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590] 

Funds in Cleared Swaps Customer Segregated Accounts 

7. Deposited in cleared swaps customer segregated accounts at banks 
   A. Cash 0 [8600] 
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610] 
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620] 

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer 
   segregated accounts 
   A. Cash 0 [8630] 
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640] 
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650] 

9. Net settlement from (to) derivatives clearing organizations 0 [8660] 

10. Cleared swaps options 
    A. Value of open cleared swaps long option contracts 0 [8670] 
    B. Value of open cleared swaps short option contracts 0 [8680] 

11. Net equities with other FCMs 
    A. Net liquidating equity 0 [8690] 
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700] 
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710] 

12. Cleared swaps customer funds on hand 
    A. Cash 0 
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715] 

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720] 

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from 
line 13) 0 [8730] 

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760] 

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) 
Management 0 [8770]