INITIAL
End Date: 9/25/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 9/26/2018
Name of Company: WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: ; or
 b. Minimum percentage of customer segregated funds required: % ; or
 c. Dollar amount range between: and; or
 d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
 a. Minimum dollar amount: ; or
 b. Minimum percentage of customer secured funds required: % ; or
 c. Dollar amount range between: and; or
 d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
 a. Minimum dollar amount: ; or
 b. Minimum percentage of cleared swaps customer collateral required: % ; or
 c. Dollar amount range between: and; or
 d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 9,105,046 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 2,951,548 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,056,594 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,131 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 2,131 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 12,058,725 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 12,058,725 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 2,801,858 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,545,807 [7520] 4,347,665 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 3,608,799 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 3,608,799 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,428,953 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,428,953 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 543,642 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 543,642 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,929,059 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,870,334 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,870,334 [7785]
## Daily Segregation - Segregation Statement

SEREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. **Net ledger balance**
   - **A. Cash**: 717,823,274 [7010]
   - **B. Securities (at market)**: 282,472,230 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 93,289,409 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 887,484,196 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -835,260,469 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,145,808,640 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,321,289 [7045]
   - **Less: amount offset by customer securities**: -118,488 [7047]
   - **1,202,801 [7050]**

6. **Amount required to be segregated (add lines 4 and 5)**: 1,147,011,441 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 396,125,899 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 1,910,034 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 319,608,158 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: 154,612,642 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 278,565,590 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -66,536,487 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 887,484,196 [7132]
    - **B. Value of open short option contracts**: -835,260,469 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 1,996,606 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,168,506,169 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 21,494,728 [7190]

15. **Management Target Amount for Excess funds in segregation**: 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 8,494,728 [7198]
# Daily Segregation - Swaps Statement

Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

## Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]