INITIAL
End Date: 10/1/2018
Firm Name: WEDBUSH SECURITIES INC
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Submit Date: 10/2/2018

Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $13,000,000 or more.
b. Minimum percentage of customer segregated funds required: 0%.
c. Dollar amount range between: 0 and 13,000,000.
d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000 or more.
b. Minimum percentage of customer secured funds required: 0%.
c. Dollar amount range between: 0 and 1,000,000.
d. Percentage range of customer secured funds required between: 0% and 0%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0 or more.
b. Minimum percentage of cleared swaps customer collateral required: 0%.
c. Dollar amount range between: 0 and $0.
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 9,073,953 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 3,017,291 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 12,091,244 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 6,932 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 6,932 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 12,098,176 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 12,098,176 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 2,037,361 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,534,819 [7520] 3,572,180 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 3,618,537 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 3,618,537 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 6,459,429 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,459,429 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 871,526 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 871,526 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 14,521,672 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 2,423,496 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,423,496 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. **Net ledger balance**
   - **A. Cash**: $712,646,506 [7010]
   - **B. Securities (at market)**: $274,631,047 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $66,099,230 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $1,360,989,411 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -$1,277,166,784 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,137,199,410 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,183,481 [7045]
   - Less: amount offset by customer securities: -$116,276 [7047] $1,067,205 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $1,138,266,615 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $408,145,139 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: $30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $1,901,033 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $320,550,486 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $79,656,279 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $270,737,530 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -$33,483,871 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $1,360,989,411 [7132]
    - **B. Value of open short option contracts**: -$1,277,166,784 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: $1,992,484 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $1,163,321,707 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $25,055,092 [7190]

15. **Management Target Amount for Excess funds in segregation**: $13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $12,055,092 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0
   - B. Securities (at market): 0

2. **Net unrealized profit (loss) in open cleared swaps**: 0

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0
   - Less: amount offset by customer owned securities: 0

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations**: 0

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers’ funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    - Excess (deficiency) funds in cleared swaps customer segregated accounts: 0
    - Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0