INITIAL
End Date: 10/2/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/3/2018
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 13,000,000
   b. Minimum percentage of customer segregated funds required: ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of customer secured funds required between:% and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: ; or 0
   c. Dollar amount range between:and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>9,213,051</td>
</tr>
<tr>
<td>A. Cash</td>
<td>9,213,051</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>3,123,050</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>Net equity (deficit)</td>
<td>12,336,101</td>
</tr>
<tr>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>7,085</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
</tr>
<tr>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>12,343,186</td>
</tr>
<tr>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6</td>
<td>12,343,186</td>
</tr>
</tbody>
</table>

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States | 2,805,863 |
   - B. Other banks qualified under Regulation 30.7 | 2,280,743 |

2. Securities
   - A. In safekeeping with banks located in the United States | 0 |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 |

3. Equities with registered futures commission merchants
   - A. Cash | 3,450,908 |
   - B. Securities | 0 |
   - C. Unrealized gain (loss) on open futures contracts | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash | 5,661,504 |
   - B. Securities | 0 |
   - C. Amount due to (from) clearing organization - daily variation | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 0 |

5. Amounts held by members of foreign boards of trade
   - A. Cash | 573,533 |
   - B. Securities | 0 |
   - C. Unrealized gain (loss) on open futures contracts | 0 |
   - D. Value of long option contracts | 0 |
   - E. Value of short option contracts | 573,533 |

6. Amounts with other depositories designated by a foreign board of trade | 0 |

7. Segregated funds on hand | 0 |

8. Total funds in separate section 30.7 accounts | 14,772,551 |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 2,429,365 |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 1,429,365 |
### Segregation Requirements (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 735,814,154 [7010]
   - B. Securities (at market): 273,685,620 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 59,780,930 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 1,349,151,452 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,302,168,341 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,116,263,815 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,900,118 [7045]
   - Less: amount offset by customer securities: -112,872 [7047] 1,787,246 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,118,051,061 [7060]

### Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   - A. Cash: 414,843,603 [7070]
   - B. Securities representing investments of customers' funds (at market): 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,915,362 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 285,768,132 [7100]
   - B. Securities representing investments of customers' funds (at market): 79,660,346 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 269,751,888 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: 8,204,478 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 1,349,151,452 [7132]
    - B. Value of open short option contracts: -1,302,168,341 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,018,370 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,139,145,290 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 21,094,229 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess: 8,094,229 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]