INITIAL
End Date: 10/3/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/4/2018
INITIAL
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
Initial
End Date: 10/3/2018
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   a. Cash 7,313,087 [7315]
   b. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 2,986,601 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 10,299,688 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,706 [7351]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 10,303,394 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 10,303,394 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   a. Banks located in the United States 837,563 [7500]
   b. Other banks qualified under Regulation 30.7 2,415,474 [7520] 3,253,037 [7530]

2. Securities
   a. In safekeeping with banks located in the United States 0 [7540]
   b. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   a. Cash 3,356,280 [7580]
   b. Securities 0 [7590]
   c. Unrealized gain (loss) on open futures contracts 0 [7600]
   d. Value of long option contracts 0 [7610]
   e. Value of short option contracts 0 [7615] 3,356,280 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   a. Cash 5,636,566 [7640]
   b. Securities 0 [7650]
   c. Amount due to (from) clearing organization - daily variation 0 [7660]
   d. Value of long option contracts 0 [7670]
   e. Value of short option contracts 0 [7675] 5,636,566 [7680]

5. Amounts held by members of foreign boards of trade
   a. Cash 619,901 [7700]
   b. Securities 0 [7710]
   c. Unrealized gain (loss) on open futures contracts 0 [7720]
   d. Value of long option contracts 0 [7730]
   e. Value of short option contracts 0 [7735] 619,901 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 12,865,784 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,562,390 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,562,390 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **Cash**: 739,893,985
   - **Securities (at market)**: 273,681,960

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 64,310,407

3. **Exchange traded options**
   - Add market value of open option contracts purchased on a contract market: 1,416,449,621
   - Deduct market value of open option contracts granted (sold) on a contract market: -1,371,609,244

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,122,726,729

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,287,665
   - Less: amount offset by customer securities: -195,912
   - **1,091,753**

6. **Amount required to be segregated (add lines 4 and 5)**: 1,123,818,482

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 439,922,865
   - **Securities representing investments of customers' funds (at market)**: 30,000,000
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 3,265,692

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 280,187,566
   - **Securities representing investments of customers' funds (at market)**: 79,663,528
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 268,401,888

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -2,277,247

10. **Exchange traded options**
    - **Value of open long option contracts**: 1,416,449,621
    - **Value of open short option contracts**: -1,371,609,244

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0
    - **Securities representing investments of customers' funds (at market)**: 0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. **Segregated funds on hand**: 2,014,380

13. **Total amount in segregation (add lines 7 through 12)**: 1,146,019,049

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 22,200,567

15. **Management Target Amount for Excess funds in segregation**: 13,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: 9,200,567
# Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGURATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]