INITIAL
End Date: 10/8/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/9/2018
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer segregated funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer segregated funds required between: % and%.

   13,000,000
   0
   0
   0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of customer secured funds required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of customer secured funds required between: % and%.

   1,000,000
   0
   0
   0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or
   b. Minimum percentage of cleared swaps customer collateral required: % ; or
   c. Dollar amount range between: and; or
   d. Percentage range of cleared swaps customer collateral required between: % and%.

   0
   0
   0
   0

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash** 7,879,493 [7315]
   - **B. Securities (at market)** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** 2,605,201 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade** 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade** 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 10,484,694 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 1,792 [7351]
   - **Less: amount offset by customer owned securities** 0 [7352] 1,792 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 10,486,486 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 10,486,486 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States** 1,944,399 [7500]
   - **B. Other banks qualified under Regulation 30.7** 1,501,554 [7520] 3,445,953 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash** 3,361,997 [7580]
   - **B. Securities** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7600]
   - **D. Value of long option contracts** 0 [7610]
   - **E. Value of short option contracts** 0 [7615] 3,361,997 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash** 5,882,225 [7640]
   - **B. Securities** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation** 0 [7660]
   - **D. Value of long option contracts** 0 [7670]
   - **E. Value of short option contracts** 0 [7675] 5,882,225 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash** 423,085 [7700]
   - **B. Securities** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7720]
   - **D. Value of long option contracts** 0 [7730]
   - **E. Value of short option contracts** 0 [7735] 423,085 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 13,113,260 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 2,626,774 [7780]

10. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

   - **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]
   - **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 1,626,774 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. Net ledger balance
   - A. Cash: **688,156,008** [7010]
   - B. Securities (at market): **272,685,485** [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: **98,692,699** [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: **1,388,026,042** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: **-1,319,883,704** [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): **1,127,676,530** [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: **1,176,895** [7045]
   - Less: amount offset by customer securities: **-92,115** [7047] **1,084,780** [7050]

6. Amount required to be segregated (add lines 4 and 5): **1,128,761,310** [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - A. Cash: **421,331,549** [7070]
   - B. Securities representing investments of customers’ funds (at market): **30,000,000** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **2,295,303** [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: **275,607,292** [7100]
   - B. Securities representing investments of customers’ funds (at market): **89,642,630** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **268,414,859** [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: **-6,635,749** [7130]

10. Exchange traded options
    - A. Value of open long option contracts: **1,388,026,042** [7132]
    - B. Value of open short option contracts: **-1,319,883,704** [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: **0** [7140]
    - B. Securities representing investments of customers’ funds (at market): **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): **0** [7170]

12. Segregated funds on hand: **1,975,323** [7150]

13. Total amount in segregation (add lines 7 through 12): **1,150,773,545** [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): **22,012,235** [7190]

15. Management Target Amount for Excess funds in segregation: **13,000,000** [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: **9,012,235** [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer owned securities**: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]