INITIAL
End Date: 10/16/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/17/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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Contact Email Address

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

13,000,000
0 0
0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

1,000,000
0 0
0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

0 0
0 0
0 0

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash:** 8,089,890 [7315]  
   - **B. Securities (at market):** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** 1,836,154 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]  
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 9,926,044 [7345]  

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 1,317 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 9,927,361 [7355]  

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 9,927,361 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,867,520 [7500]  
   - B. Other banks qualified under Regulation 30.7: 1,511,766 [7520] 3,379,286 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]  
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 3,070,886 [7580]  
   - B. Securities: 0 [7590]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]  
   - D. Value of long option contracts: 0 [7610]  
   - E. Value of short option contracts: 0 [7615] 3,070,886 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 5,882,591 [7640]  
   - B. Securities: 0 [7650]  
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]  
   - D. Value of long option contracts: 0 [7670]  
   - E. Value of short option contracts: 0 [7675] 5,882,591 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 307,960 [7700]  
   - B. Securities: 0 [7710]  
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]  
   - D. Value of long option contracts: 0 [7730]  
   - E. Value of short option contracts: 0 [7735] 307,960 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]  

7. **Segregated funds on hand**
   - 0 [7765]  

8. **Total funds in separate section 30.7 accounts**
   - 12,640,723 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,713,362 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 1,713,362 [7785]
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**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash  \[665,392,535 \text{ [7010] }\]
   - B. Securities (at market)  \[263,532,332 \text{ [7020] }\]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  \[114,858,012 \text{ [7030] }\]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market  \[1,394,525,622 \text{ [7032] }\]
   - B. Deduct market value of open option contracts granted (sold) on a contract market  \[-1,331,782,857 \text{ [7033] }\]

4. **Net equity (deficit) (add lines 1, 2 and 3)**  \[1,106,525,644 \text{ [7040] }\]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  \[1,263,186 \text{ [7045] }\]
   - Less: amount offset by customer securities  \[-89,881 \text{ [7047] }\]
   - 1,173,305 \text{ [7050] }

6. **Amount required to be segregated (add lines 4 and 5)**  \[1,107,698,949 \text{ [7060] }\]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - Deposit in segregated funds bank accounts
     - A. Cash  \[412,975,768 \text{ [7070] }\]
     - B. Securities representing investments of customers’ funds (at market)  \[30,000,000 \text{ [7080] }\]
     - C. Securities held for particular customers or option customers in lieu of cash (at market)  \[2,680,394 \text{ [7090] }\]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash  \[268,085,597 \text{ [7100] }\]
   - B. Securities representing investments of customers’ funds (at market)  \[89,681,990 \text{ [7110] }\]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)  \[258,819,178 \text{ [7120] }\]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**  \[2,847,283 \text{ [7130] }\]

10. **Exchange traded options**
    - A. Value of open long option contracts  \[1,394,525,622 \text{ [7132] }\]
    - B. Value of open short option contracts  \[-1,331,782,857 \text{ [7133] }\]

11. **Net equities with other FCMs**
    - A. Net liquidating equity  \[0 \text{ [7140] }\]
    - B. Securities representing investments of customers’ funds (at market)  \[0 \text{ [7160] }\]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)  \[0 \text{ [7170] }\]

12. **Segregated funds on hand**  \[2,032,760 \text{ [7150] }\]

13. **Total amount in segregation (add lines 7 through 12)**  \[1,129,865,735 \text{ [7180] }\]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  \[22,166,786 \text{ [7190] }\]

15. **Management Target Amount for Excess funds in segregation**  \[13,000,000 \text{ [7194] }\]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess  \[9,166,786 \text{ [7198] }\]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]