Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: $13,000,000
- b. Minimum percentage of customer segregated funds required: 0%
- c. Dollar amount range between: 0 and 0
- d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: $1,000,000
- b. Minimum percentage of customer secured funds required: 0%
- c. Dollar amount range between: 0 and 0
- d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- a. Minimum dollar amount: 0
- b. Minimum percentage of cleared swaps customer collateral required: 0%
- c. Dollar amount range between: 0 and 0
- d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**Daily Segregation - Secured Amounts**

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash
   - B. Securities (at market)

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade
   - b. Market value of open contracts granted (sold) on a foreign board of trade

4. Net equity (deficit) (add lines 1. 2. and 3.)

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - Less: amount offset by customer owned securities

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States
   - B. Other banks qualified under Regulation 30.7

2. Securities
   - A. In safekeeping with banks located in the United States
   - B. In safekeeping with other banks qualified under Regulation 30.7

3. Equities with registered futures commission merchants
   - A. Cash
   - B. Securities
   - C. Unrealized gain (loss) on open futures contracts
   - D. Value of long option contracts
   - E. Value of short option contracts

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash
   - B. Securities
   - C. Amount due to (from) clearing organization - daily variation
   - D. Value of long option contracts
   - E. Value of short option contracts

5. Amounts held by members of foreign boards of trade
   - A. Cash
   - B. Securities
   - C. Unrealized gain (loss) on open futures contracts
   - D. Value of long option contracts
   - E. Value of short option contracts

6. Amounts with other depositories designated by a foreign board of trade

7. Segregated funds on hand

8. Total funds in separate section 30.7 accounts

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

10. Management Target Amount for Excess funds in separate section 30.7 accounts

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

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<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>9,145,548</td>
<td>[7315]</td>
</tr>
<tr>
<td>Foreign Futures and Foreign Options Secured Amounts</td>
<td>0</td>
<td>[7305]</td>
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<tr>
<td>Daily Segregation - Secured Amount</td>
<td>9,799,444</td>
<td>[7355]</td>
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<tr>
<td>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS</td>
<td></td>
<td></td>
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<tr>
<td>Cash in banks</td>
<td>1,522,168</td>
<td>[7500]</td>
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<tr>
<td>Securities</td>
<td>1,505,443</td>
<td>[7520]</td>
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<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>651,964</td>
<td>[7325]</td>
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<tr>
<td>Exchange traded options</td>
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<td></td>
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<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
<td>[7335]</td>
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<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
<td>[7337]</td>
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<tr>
<td>Net equity (deficit)</td>
<td>9,797,512</td>
<td>[7345]</td>
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<tr>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>1,932</td>
<td>[7351]</td>
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<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
<td>[7352]</td>
</tr>
<tr>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>9,799,444</td>
<td>[7355]</td>
</tr>
<tr>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>9,799,444</td>
<td>[7360]</td>
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<tr>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000</td>
<td>[7780]</td>
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<tr>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>1,712,775</td>
<td>[7785]</td>
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</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 707,106,346 [7010]
   - B. Securities (at market): 263,534,371 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market:** 100,676,465 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,345,318,160 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,277,820,891 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3):** 1,138,814,451 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount:** 1,171,152 [7045]
   - Less: amount offset by customer securities: -89,027 [7047] 1,082,125 [7050]

6. **Amount required to be segregated (add lines 4 and 5):** 1,139,896,576 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 444,127,058 [7070]
   - B. Securities representing investments of customers’ funds (at market): 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 2,687,919 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 275,012,542 [7100]
   - B. Securities representing investments of customers’ funds (at market): 89,687,435 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 258,819,178 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets:** -7,308,597 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,345,318,160 [7132]
    - B. Value of open short option contracts: -1,277,820,891 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand:** 2,027,274 [7150]

13. **Total amount in segregation (add lines 7 through 12):** 1,162,550,078 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13):** 22,653,502 [7190]

15. **Management Target Amount for Excess funds in segregation:** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount:**
    - Excess: 9,653,502 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]