INITIAL
End Date: 10/18/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/19/2018
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0%
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0%
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
  c. Dollar amount range between: and ; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 9,146,335 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 672,381 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 9,818,716 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 2,200 [7352]
   - Less: amount offset by customer owned securities: 0 [7352]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 9,820,916 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 9,820,916 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 1,594,513 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,504,355 [7520]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560]

3. Equities with registered futures commission merchants
   - A. Cash: 3,159,140 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 5,975,880 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 265,304 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 12,499,192 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 2,678,276 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,678,276 [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 723,151,387 [7010]
   B. Securities (at market) 263,538,846 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 117,635,919 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,325,866,369 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,259,132,619 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,171,059,902 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,173,139 [7045]
   Less: amount offset by customer securities 89,696 [7047] 1,083,443 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,172,143,345 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   A. Cash 462,683,109 [7070]
   B. Securities representing investments of customers' funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 2,696,717 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 265,636,726 [7100]
   B. Securities representing investments of customers' funds (at market) 89,692,479 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 258,819,178 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 16,921,359 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,325,866,369 [7132]
    B. Value of open short option contracts -1,259,132,619 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,022,951 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,195,206,269 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 23,062,924 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 10,062,924 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash [8500]
   B. Securities (at market) [8510]
2. Net unrealized profit (loss) in open cleared swaps [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased [8530]
   B. Market value of open cleared swaps option contracts granted (sold) [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount [8560]
   Less: amount offset by customer owned securities [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]
9. Net settlement from (to) derivatives clearing organizations [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts [8670]
    B. Value of open cleared swaps short option contracts [8680]
11. Net equities with other FCMs
    A. Net liquidating equity [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]
12. Cleared swaps customer funds on hand
    A. Cash [8715]
    B. Securities representing investments of cleared swaps customers' funds (at market) [8720]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8730]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) [8740]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) [8760]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management [8770]