INITIAL
End Date: 10/22/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/23/2018
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Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 13,000,000
- b. Minimum percentage of customer segregated funds required: % ; or 0
- c. Dollar amount range between: and; or 0 0
- d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 1,000,000
- b. Minimum percentage of customer secured funds required: % ; or 0
- c. Dollar amount range between: and; or 0 0
- d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 0
- b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
- c. Dollar amount range between: and; or 0 0
- d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 9,797,738 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 664,749 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 10,462,487 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,451 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,451 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 10,464,938 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
   6. 10,464,938 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 2,406,600 [7500]
   B. Other banks qualified under Regulation 30.7 1,499,829 [7520] 3,906,429 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,027,887 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,027,887 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 5,940,536 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 5,940,536 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 298,022 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 298,022 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 13,172,874 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8) 2,707,936 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,707,936 [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash: 722,605,038
   - B. Securities (at market): 263,526,152

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 121,295,883

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,315,198,954
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,264,157,999

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,158,468,028

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 3,354,182
   - Less: amount offset by customer securities: -2,251,936
     - 1,102,246

6. **Amount required to be segregated (add lines 4 and 5)**: 1,159,570,274

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 435,994,737
   - B. Securities representing investments of customers' funds (at market): 30,000,000
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 2,687,740

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 299,829,220
   - B. Securities representing investments of customers' funds (at market): 89,713,616
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 258,819,178

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 11,128,969

10. **Exchange traded options**
   - A. Value of open long option contracts: 1,315,198,954
   - B. Value of open short option contracts: -1,264,157,999

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers' funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. **Segregated funds on hand**: 2,019,234

13. **Total amount in segregation (add lines 7 through 12)**: 1,181,233,649

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 21,663,375

15. **Management Target Amount for Excess funds in segregation**: 13,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 8,663,375
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0
   - B. Securities (at market): 0

2. **Net unrealized profit (loss) in open cleared swaps**: 0

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0
   - B. Market value of open cleared swaps option contracts granted (sold): 0

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0
   - Less: amount offset by customer owned securities: 0

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations**: 0

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0
    - B. Value of open cleared swaps short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0