INITIAL
End Date: 10/25/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/26/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $13,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   - Cash: 9,849,731
   - Securities (at market): 0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   - Amount required to be set aside: -64,478

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade: 0  
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0

4. **Net equity (deficit) (add lines 1, 2, and 3.)**  
   - Market value of open option contracts purchased on a foreign board of trade: 9,785,253
   - Amount required to be set aside: 9,787,585

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   - Market value of open option contracts purchased on a foreign board of trade: 2,332
   - Amount required to be set aside: 2,332

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   - Amount required to be set aside: 9,787,585

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   - Amount required to be set aside: 9,787,585

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   - Banks located in the United States: 1,912,435  
   - Other banks qualified under Regulation 30.7: 1,488,655

2. **Securities**  
   - In safekeeping with banks located in the United States: 0  
   - In safekeeping with other banks qualified under Regulation 30.7: 0

3. **Equities with registered futures commission merchants**  
   - Cash: 2,903,296
   - Securities: 0

4. **Amounts held by clearing organizations of foreign boards of trade**  
   - Cash: 5,816,632
   - Securities: 0

5. **Amounts held by members of foreign boards of trade**  
   - Cash: 371,174
   - Securities: 0

6. **Amounts with other depositories designated by a foreign board of trade**  
   - Cash: 0

7. **Segregated funds on hand**  
   - Cash: 0

8. **Total funds in separate section 30.7 accounts**  
   - Cash: 12,492,192

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   - Cash: 2,704,607

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    - Cash: 1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    - Cash: 1,704,607
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 739,318,645 [7010]
   B. Securities (at market) 210,456,876 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 70,790,256 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,288,930,016 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,205,728,610 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,103,766,883 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,951,333 [7045]
   Less: amount offset by customer securities -849,620 [7047] 1,101,713 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,104,868,596 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 407,879,025 [7070]
   B. Securities representing investments of customers' funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 3,674,462 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 315,987,845 [7100]
   B. Securities representing investments of customers' funds (at market) 89,728,630 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 204,754,759 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -9,500,330 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,288,930,016 [7132]
    B. Value of open short option contracts -1,205,728,610 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,027,355 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,127,753,152 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 22,884,556 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 9,884,556 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]