INITIAL
End Date: 10/26/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 10/29/2018
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
1. Minimum dollar amount: ; or 13,000,000
2. Minimum percentage of customer segregated funds required: % ; or 0
3. Dollar amount range between: and ; or 0 0
4. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
1. Minimum dollar amount: ; or 1,000,000
2. Minimum percentage of customer secured funds required: % ; or 0
3. Dollar amount range between: and ; or 0 0
4. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
1. Minimum dollar amount: ; or 0
2. Minimum percentage of cleared swaps customer collateral required: % ; or 0
3. Dollar amount range between: and ; or 0 0
4. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \( 0 \) [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \( 10,609,201 \) [7315]
   - B. Securities (at market): \( 0 \) [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: \( -363,157 \) [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \( 0 \) [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \( 0 \) [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: \( 10,246,044 \) [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: \( 1,454 \) [7351]
   - Less: amount offset by customer owned securities: \( 0 \) [7352] \( 1,454 \) [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method** (add lines 4 and 5): \( 10,247,498 \) [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - \( 10,247,498 \) [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \( 2,503,945 \) [7500]
   - B. Other banks qualified under Regulation 30.7: \( 1,491,534 \) [7520] \( 3,995,479 \) [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \( 0 \) [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \( 0 \) [7560] \( 0 \) [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: \( 2,939,815 \) [7580]
   - B. Securities: \( 0 \) [7590]
   - C. Unrealized gain (loss) on open futures contracts: \( 0 \) [7600]
   - D. Value of long option contracts: \( 0 \) [7610]
   - E. Value of short option contracts: \( 0 \) [7615] \( 2,939,815 \) [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \( 5,789,568 \) [7640]
   - B. Securities: \( 0 \) [7650]
   - C. Amount due to (from) clearing organization - daily variation: \( 0 \) [7660]
   - D. Value of long option contracts: \( 0 \) [7670]
   - E. Value of short option contracts: \( 0 \) [7675] \( 5,789,568 \) [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \( 255,735 \) [7700]
   - B. Securities: \( 0 \) [7710]
   - C. Unrealized gain (loss) on open futures contracts: \( 0 \) [7720]
   - D. Value of long option contracts: \( 0 \) [7730]
   - E. Value of short option contracts: \( 0 \) [7735] \( 255,735 \) [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: \( 0 \) [7760]

7. **Segregated funds on hand**: \( 0 \) [7765]

8. **Total funds in separate section 30.7 accounts**: \( 12,980,597 \) [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: \( 2,733,099 \) [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: \( 1,000,000 \) [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: \( 1,733,099 \) [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - **Cash**: 752,140,504 [7010]
   - **Securities (at market)**: 212,169,368 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 84,558,067 [7030]

3. Exchange traded options
   - Add market value of open option contracts purchased on a contract market: 1,224,391,086 [7032]
   - Deduct market value of open option contracts granted (sold) on a contract market: -1,184,926,212 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,088,332,813 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 2,213,168 [7045]
   - Less: amount offset by customer securities: 1,089,333,894 [7050]
   - Amount required to be segregated (add lines 4 and 5): 1,089,433,894 [7060]

6. Deposited in segregated funds bank accounts
   - **Cash**: 384,632,383 [7070]
   - **Securities representing investments of customers' funds (at market)**: 30,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 5,421,177 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - Add market value of open option contracts purchased on a contract market: 305,674,127 [7100]
   - Deduct market value of open option contracts granted (sold) on a contract market: 89,734,550 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 204,712,346 [7120]


10. Exchange traded options
    - Add market value of open long option contracts: 1,224,391,086 [7132]
    - Deduct market value of open short option contracts: -1,184,926,212 [7133]

11. Net equities with other FCMs
    - Add market value of liquidating equity: 0 [7140]
    - Deduct market value of open option contracts purchased on a contract market: 0 [7160]
    - Deduct market value of open option contracts granted (sold) on a contract market: 0 [7170]

12. Segregated funds on hand: 2,035,845 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,112,832,388 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 23,398,494 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 10,398,494 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]