INITIAL
End Date: 10/31/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/1/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 13,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
INITIAL  
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**Daily Segregation - Secured Amounts**

<table>
<thead>
<tr>
<th>Foreign Futures and Foreign Options Secured Amounts</th>
<th>0 [7305]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0 [7305]</td>
</tr>
</tbody>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash | 10,607,542 [7315] |
   B. Securities (at market) | 0 [7317] |

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | -349,701 [7325] |

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade | 0 [7335] |
   b. Market value of open contracts granted (sold) on a foreign board of trade | 0 [7337] |

4. Net equity (deficit) (add lines 1. 2. and 3.) | 10,257,841 [7345] |

5. Account liquidating to a deficit and account with a debit balances - gross amount | 3,079 [7351] |
   Less: amount offset by customer owned securities | 0 [7352] 3,079 [7354] |

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | 10,260,920 [7355] |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 10,260,920 [7360] |

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States | 2,386,401 [7500] |
   B. Other banks qualified under Regulation 30.7 | 2,018,338 [7520] 4,404,739 [7530] |

2. Securities  
   A. In safekeeping with banks located in the United States | 0 [7540] |
   B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. Equities with registered futures commission merchants  
   A. Cash | 2,937,898 [7580] |
   B. Securities | 0 [7590] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   D. Value of long option contracts | 0 [7610] |
   E. Value of short option contracts | 0 [7615] 2,937,898 [7620] |

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash | 5,302,695 [7640] |
   B. Securities | 0 [7650] |
   C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   D. Value of long option contracts | 0 [7670] |
   E. Value of short option contracts | 0 [7675] 5,302,695 [7680] |

5. Amounts held by members of foreign boards of trade  
   A. Cash | 309,348 [7700] |
   B. Securities | 0 [7710] |
   C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   D. Value of long option contracts | 0 [7730] |
   E. Value of short option contracts | 0 [7735] 309,348 [7740] |

6. Amounts with other depositories designated by a foreign board of trade | 0 [7760] |

7. Segregated funds on hand | 0 [7765] |

8. Total funds in separate section 30.7 accounts | 12,954,680 [7770] |

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | 2,693,760 [7780] |

10. Management Target Amount for Excess funds in separate section 30.7 accounts | 1,000,000 [7780] |

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target | 1,693,760 [7785] |
**Daily Segregation - Segregation Statement**

SEgregation REQUIREMENTS(Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 743,612,578 [7010]
   - B. Securities (at market): 210,447,161 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 70,242,467 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 1,278,614,226 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,189,698,134 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,113,218,298 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 2,100,121 [7045]
   - Less: amount offset by customer securities: -1,007,956 [7047] 1,092,165 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,114,310,463 [7060]

**Funds IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: 423,826,875 [7070]
   - B. Securities representing investments of customers’ funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,340,759 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 315,886,683 [7100]
   - B. Securities representing investments of customers’ funds (at market): 99,709,051 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 207,099,759 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: -15,244,247 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 1,278,614,226 [7132]
    - B. Value of open short option contracts: -1,189,698,134 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,006,643 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,138,541,615 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 24,231,152 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 11,231,152 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)**
    - Management