INITIAL
End Date: 11/2/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/5/2018
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
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FCM's Customer Segregated Funds Residual Interest Target (choose one):

   a. Minimum dollar amount: $ 13,000,000 ; or
   b. Minimum percentage of customer segregated funds required: 0 ; or
   c. Dollar amount range between: 0 and 0 ; or
   d. Percentage range of customer segregated funds required between: 0 % and 0 %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

   a. Minimum dollar amount: $ 1,000,000 ; or
   b. Minimum percentage of customer secured funds required: 0 ; or
   c. Dollar amount range between: 0 and 0 ; or
   d. Percentage range of customer secured funds required between: 0 % and 0 %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

   a. Minimum dollar amount: $ 0 ; or
   b. Minimum percentage of cleared swaps customer collateral required: 0 ; or
   c. Dollar amount range between: 0 and 0 ; or
   d. Percentage range of cleared swaps customer collateral required between: 0 % and 0 %.

Attach supporting documents
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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- **Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder**: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 9,737,294 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 161,568 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 9,998,862 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 6,342 [7351]
   - **Less: amount offset by customer owned securities**: 0 [7352] 6,342 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 9,905,204 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - **9,905,204** [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States**: 1,654,755 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 2,026,802 [7520] 3,681,557 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 3,136,416 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 3,136,416 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 5,385,172 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 5,385,172 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 394,675 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 394,675 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 12,597,820 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,692,616 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,692,616 [7785]
## Daily Segregation - Segregation Statement

### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. **Net ledger balance**
   - A. Cash: **808,307,690** [7010]
   - B. Securities (at market): **206,442,096** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: **69,275,052** [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: **1,344,230,384** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: **-1,309,869,877** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: **1,118,385,345** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: **1,896,019** [7045]
   - Less: amount offset by customer securities: **-780,238** [7047]
   - **1,115,781** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: **1,119,501,126** [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: **433,303,802** [7070]
   - B. Securities representing investments of customers' funds (at market): **15,000,000** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **1,252,351** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: **339,207,480** [7100]
   - B. Securities representing investments of customers' funds (at market): **99,721,412** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **203,147,716** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: **17,151,395** [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: **1,344,230,384** [7132]
    - B. Value of open short option contracts: **-1,309,869,877** [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: **0** [7140]
    - B. Securities representing investments of customers' funds (at market): **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): **0** [7170]

12. **Segregated funds on hand**: **2,042,029** [7150]

13. **Total amount in segregation (add lines 7 through 12)**: **1,145,186,692** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: **25,685,566** [7190]

15. **Management Target Amount for Excess funds in segregation**: **13,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: **12,685,566** [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]