Name of Company

WEDBUSH SECURITIES INC

Contact Name

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

13,000,000

b. Minimum percentage of customer segregated funds required:% ; or

c. Dollar amount range between:and; or

0 0

d. Percentage range of customer segregated funds required between:% and%.

0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

1,000,000

b. Minimum percentage of customer secured funds required:% ; or

c. Dollar amount range between:and; or

0 0

d. Percentage range of customer secured funds required between:% and%.

0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

0

b. Minimum percentage of cleared swaps customer collateral required:% ; or

0

c. Dollar amount range between:and; or

0 0

d. Percentage range of cleared swaps customer collateral required between:% and%.

0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 11,367,147 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -208,833 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,158,314 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,883 [7351]
   
   Less: amount offset by customer owned securities: 0 [7352] 2,883 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,161,197 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,161,197 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 3,284,752 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,042,585 [7520] 5,327,337 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 2,842,046 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 2,842,046 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 5,421,574 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 5,421,574 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 317,763 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 317,763 [7740]

6. **Amounts with other depositaries designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 13,908,720 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,747,523 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,747,523 [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **Cash**: 776,221,295 [7010]
   - **Securities (at market)**: 207,521,342 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 18,418,350 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 1,435,061,243 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -1,348,235,676 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,088,986,554 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,596,543 [7045]
   - Less: amount offset by customer securities: -505,952 [7047] 1,090,591 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,090,077,145 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 320,393,054 [7070]
   - **Securities representing investments of customers’ funds (at market)**: 15,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 1,358,473 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 388,505,137 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: 99,749,197 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 204,140,553 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -4,902,128 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 1,435,061,243 [7132]
    - **B. Value of open short option contracts**: -1,348,235,676 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 2,022,316 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,113,092,169 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 23,015,024 [7190]

15. **Management Target Amount for Excess funds in segregation**: 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess**: 10,015,024 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash
   B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased
   B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts
    B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    A. Net liquidating equity
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    A. Cash
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**