INITIAL
End Date: 11/12/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/13/2018
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or $13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0%
c. Dollar amount range between: and; or $0
  $0
d. Percentage range of customer segregated funds required between: % and%. 0% 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or $1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0%
c. Dollar amount range between: and; or $0
  $0
d. Percentage range of customer secured funds required between: % and%. 0% 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or $0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
c. Dollar amount range between: and; or $0
  $0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0% 0%

Attach supporting documents
**INITIAL**

**End Date:** 11/12/2018  
**Firm Name:** WEDBUSH SECURITIES INC  
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### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 [7305]</td>
<td></td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   A. Cash 10,529,080 [7315]  
   B. Securities (at market) 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** -411,653 [7325]

3. **Exchange traded options**
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)** 10,117,427 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount** 5,099 [7351]
   Less: amount offset by customer owned securities 0 [7352] 5,099 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** 10,122,526 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 10,122,526 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   A. Banks located in the United States 2,369,959 [7500]  
   B. Other banks qualified under Regulation 30.7 2,027,622 [7520] 4,397,581 [7530]

2. **Securities**
   A. In safekeeping with banks located in the United States 0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   A. Cash 2,768,892 [7580]  
   B. Securities 0 [7590]  
   C. Unrealized gain (loss) on open futures contracts 0 [7600]  
   D. Value of long option contracts 0 [7610]  
   E. Value of short option contracts 0 [7615] 2,768,892 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   A. Cash 5,222,109 [7640]  
   B. Securities 0 [7650]  
   C. Amount due to (from) clearing organization - daily variation 0 [7660]  
   D. Value of long option contracts 0 [7670]  
   E. Value of short option contracts 0 [7675] 5,222,109 [7680]

5. **Amounts held by members of foreign boards of trade**
   A. Cash 406,984 [7700]  
   B. Securities 0 [7710]  
   C. Unrealized gain (loss) on open futures contracts 0 [7720]  
   D. Value of long option contracts 0 [7730]  
   E. Value of short option contracts 0 [7735] 406,984 [7740]

6. **Amounts with other depositories designated by a foreign board of trade** 0 [7760]

7. **Segregated funds on hand** 0 [7765]

8. **Total funds in separate section 30.7 accounts** 12,795,566 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** 2,673,040 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts** 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target** 1,673,040 [7785]
### Daily Segregation - Segregation Statement

**SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash** 836,816,026 [7010]
   - **B. Securities (at market)** 202,987,227 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 7,512,777 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 1,377,964,907 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -1,378,471,983 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,046,808,954 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,725,150 [7045]

6. **Amount required to be segregated (add lines 4 and 5)** 1,047,913,852 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash** 269,598,789 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 1,870,431 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 416,097,508 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 99,779,152 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 199,160,668 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 68,324,052 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 1,377,964,907 [7132]
    - **B. Value of open short option contracts** -1,378,471,983 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 1,956,128 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,071,279,652 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 23,365,800 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 10,365,800 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8690]

#### Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]