INITIAL
End Date: 11/19/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/20/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 13,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and %. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
**INITIAL**  
End Date: 11/19/2018  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 11/20/2018  

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or of a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 11,205,206 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 13,601 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 11,218,807 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 1,310 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 1,310 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 11,220,117 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 11,220,117 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 2,869,716 [7500]
   - B. Other banks qualified under Regulation 30.7: 2,040,515 [7520] 4,910,231 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 3,450,537 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 3,450,537 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 5,419,173 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 5,419,173 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 325,292 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 325,292 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 14,105,233 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,885,116 [7785]
INITIAL
End Date: 11/19/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/20/2018

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash  787,166,801 [7010]
   B. Securities (at market)  102,034,259 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  -17,811,756 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market  1,639,567,562 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market  -1,578,195,850 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)  932,761,016 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  1,710,894 [7045]

6. Amount required to be segregated (add lines 4 and 5)  934,046,623 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash  218,820,284 [7070]
   B. Securities representing investments of customers’ funds (at market)  15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)  1,081,315 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash  443,987,954 [7100]
   B. Securities representing investments of customers’ funds (at market)  119,721,081 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)  98,946,437 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets  12,544,751 [7130]

10. Exchange traded options
    A. Value of open long option contracts  1,639,567,562 [7132]
    B. Value of open short option contracts  -1,578,195,850 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity  0 [7140]
    B. Securities representing investments of customers’ funds (at market)  0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)  0 [7170]

12. Segregated funds on hand  2,006,507 [7150]

13. Total amount in segregation (add lines 7 through 12)  973,480,041 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  39,433,418 [7190]

15. Management Target Amount for Excess funds in segregation  13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess  26,433,418 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   A. Cash
   B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased
   B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash
   B. Securities representing investments of cleared swaps customers' funds (at market)
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts
    B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    A. Net liquidating equity
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    A. Cash
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**