INITIAL
End Date: 11/26/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/27/2018
WEDBUSH SECURITIES INC

Kevin Wirth
312-784-0475
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: 13,000,000
b. Minimum percentage of customer segregated funds required: 0
   c. Dollar amount range between: 0 0
   d. Percentage range of customer segregated funds required between: 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: 1,000,000
b. Minimum percentage of customer secured funds required: 0
   c. Dollar amount range between: 0 0
   d. Percentage range of customer secured funds required between: 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: 0
b. Minimum percentage of cleared swaps customer collateral required: 0
   c. Dollar amount range between: 0 0
   d. Percentage range of cleared swaps customer collateral required between: 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

#### Table: Daily Segregation - Secured Amounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>10,561,462 [7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>-293,072 [7325]</td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>0 [7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0 [7337]</td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1, 2. and 3.)</td>
<td>10,268,390 [7345]</td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>1,364 [7351]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352] 1,364 [7354]</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>10,269,754 [7355]</td>
</tr>
<tr>
<td>7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>10,269,754 [7360]</td>
</tr>
</tbody>
</table>

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cash in banks</td>
<td></td>
</tr>
<tr>
<td>A. Banks located in the United States</td>
<td>2,051,115 [7500]</td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>2,037,443 [7520] 4,088,558 [7530]</td>
</tr>
<tr>
<td>2. Securities</td>
<td></td>
</tr>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0 [7540]</td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0 [7560] 0 [7570]</td>
</tr>
<tr>
<td>3. Equities with registered futures commission merchants</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>3,313,554 [7580]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7590]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7600]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7610]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7615] 3,313,554 [7620]</td>
</tr>
<tr>
<td>4. Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>5,467,557 [7640]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7650]</td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0 [7660]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7670]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7675] 5,467,557 [7680]</td>
</tr>
<tr>
<td>5. Amounts held by members of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>340,856 [7700]</td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7710]</td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7720]</td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7730]</td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7735] 340,856 [7740]</td>
</tr>
<tr>
<td>6. Amounts with other depositories designated by a foreign board of trade</td>
<td>0 [7760]</td>
</tr>
<tr>
<td>7. Segregated funds on hand</td>
<td>0 [7765]</td>
</tr>
<tr>
<td>8. Total funds in separate section 30.7 accounts</td>
<td>13,210,525 [7770]</td>
</tr>
<tr>
<td>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>2,940,771 [7380]</td>
</tr>
<tr>
<td>10. Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000 [7780]</td>
</tr>
<tr>
<td>11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>1,940,771 [7785]</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash** 909,160,038 [7010]
   - **B. Securities (at market)** 101,980,101 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** -106,130,251 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 1,603,397,460 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -1,556,457,187 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 951,950,161 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,888,162 [7045]
   - Less: amount offset by customer securities -769,807 [7047] 1,118,355 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 953,068,516 [7060]

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 157,790,096 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 1,047,861 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 512,083,568 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 139,668,364 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 98,946,389 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 4,856,542 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 1,603,397,460 [7132]
    - **B. Value of open short option contracts** -1,556,457,187 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 1,985,851 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 978,318,944 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 25,250,428 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount** Excess 12,250,428 [7198]
**INITIAL**

End Date: 11/26/2018  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 11/27/2018

**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]  
   B. Securities (at market) 0 [8510]  

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]  
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]  

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]  

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]  
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]  

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]  

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]  
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]  
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]  

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]  
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]  
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]  

9. Net settlement from (to) derivatives clearing organizations 0 [8660]  

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]  
    B. Value of open cleared swaps short option contracts 0 [8680]  

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]  
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]  
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]  

12. Cleared swaps customer funds on hand
    A. Cash 0  
    B. Securities representing investments of cleared swaps customers' funds (at market) 0  
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]  

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]  

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]  

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]  

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]