



CLIENT COMMITMENT

We are committed to helping clients achieve their financial goals through entrepreneurial, industry-leading investment ideas, products and services.

OUR CLIENTS ARE OUR PRIORITY

At Wedbush, we are in the business to provide value-added services to each of our Clients. The colleagues of Wedbush Securities are the resources through whom we will provide the finest services available in the securities industry.

Our formula for success continues to be our commitment to an entrepreneurial culture, core values, strong operational controls and disciplined financial management.

Our commitment to our clients includes:

- Conducting our activities for the benefit of clients first, with the highest standards of ethics and integrity which we can command.
- Consulting with our clients continually in order to provide quality products and services that focus on their needs.
- Placing major emphasis on innovation, creativity and performance.
- Maintaining business continuity and a strong financial condition to protect clients' long-term interests.

At Wedbush, our colleagues:

- Act as excellent representatives of the firm to our clients.
- Excel in their work through personal effort, training, and development.
- Practice quality control in their work at all times.
- Are enthusiastic, energetic, self-motivated, creative, and ambitious.
- Communicate effectively with our Clients, with each other, and with management.
- Are flexible and willing to cross-train—working as a team with mutual respect and a goal-achieving mindset.
- Smile and maintain a friendly workplace.

Our firm's leadership will:

- Create a positive and secure environment for clients and colleagues.
- Emphasize the highest ethical and quality standards.
- Develop a teamwork environment where the good of the whole is paramount.
- Develop a true understanding of responsibility, authority, delegation, and acceptance.
- Provide excellent stewardship of the company's business.