INITIAL
End Date: 11/29/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 11/30/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and %.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and %.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,101,746 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 71,850 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,173,596 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,676 [7351]
   Less: amount offset by customer owned securities 0 [7352] 1,676 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,175,272 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 11,175,272 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 2,633,425 [7500]
   B. Other banks qualified under Regulation 30.7 2,039,762 [7520] 4,673,187 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,215,593 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,215,593 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 5,595,265 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 5,595,265 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 674,937 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 674,937 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,158,982 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,983,710 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,983,710 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
     - 978,141,010 [7010]
   - **B. Securities (at market)**
     - 95,643,712 [7020]
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -108,259,604 [7030]
3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 2,052,964,088 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -1,960,429,906 [7033]
4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,058,059,300 [7040]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,669,140 [7045]
   - Less: amount offset by customer securities
     - -560,407 [7047], 1,108,733 [7050]
6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,059,168,033 [7060]
7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - **A. Cash**
       - 250,639,419 [7070]
     - **B. Securities representing investments of customers' funds (at market)**
       - 15,000,000 [7080]
     - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
       - 1,053,768 [7090]
9. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 432,903,638 [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - 194,451,768 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 92,591,907 [7120]
10. **Net settlement from (to) derivatives clearing organizations of contract markets**
    - 14,372,101 [7130]
11. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 2,052,964,088 [7132]
    - **B. Value of open short option contracts**
      - -1,960,429,906 [7133]
12. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]
13. **Segregated funds on hand**
    - 1,998,037 [7150]
14. **Total amount in segregation (add lines 7 through 12)**
    - 1,095,544,820 [7180]
15. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 36,376,787 [7190]
16. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]
17. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 23,376,787 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash [8500]
   - B. Securities (at market) [8510]

2. **Net unrealized profit (loss) in open cleared swaps** [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** [8570] [8580]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8650]

9. **Net settlement from (to) derivatives clearing organizations** [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts [8670]
    - B. Value of open cleared swaps short option contracts [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash [8715]
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** [8770]