INITIAL
End Date: 12/5/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/6/2018
Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,610,212 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -1,041,456 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 10,568,756 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,834 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,834 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 10,571,590 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 10,571,590 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 1,643,649 [7500]
   B. Other banks qualified under Regulation 30.7 1,648,180 [7520] 3,291,829 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 3,593,383 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 3,593,383 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 5,617,431 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 5,617,431 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 636,114 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 636,114 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 13,138,757 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,567,167 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,567,167 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)  

1. **Net ledger balance**  
   
   **A. Cash**  
   $855,052,240 [7010]  
   
   **B. Securities (at market)**  
   $101,045,144 [7020]  
   
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**  
   $-49,149,890 [7030]  
   
3. **Exchange traded options**  
   
   **A. Add market value of open option contracts purchased on a contract market**  
   $1,967,178,418 [7032]  
   
   **B. Deduct market value of open option contracts granted (sold) on a contract market**  
   $-1,873,859,694 [7033]  
   
4. **Net equity (deficit) (add lines 1, 2 and 3)**  
   $1,000,266,218 [7040]  
   
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**  
   $1,726,561 [7045]  
   
   **Less: amount offset by customer securities**  
   $-599,891 [7047]  
   
   **1,126,670** [7050]  
   
6. **Amount required to be segregated (add lines 4 and 5)**  
   $1,001,392,888 [7060]  
   
7. **FUNDS IN SEGREGATED ACCOUNTS**  
   
   **A. Cash**  
   $228,113,280 [7070]  
   
   **B. Securities representing investments of customers’ funds (at market)**  
   $15,000,000 [7080]  
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
   $1,061,855 [7090]  
   
8. **Margins on deposit with derivatives clearing organizations of contract markets**  
   
   **A. Cash**  
   $355,785,503 [7100]  
   
   **B. Securities representing investments of customers’ funds (at market)**  
   $234,338,545 [7110]  
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
   $97,961,828 [7120]  
   
9. **Net settlement from (to) derivatives clearing organizations of contract markets**  
   $1,245,097 [7130]  
   
10. **Exchange traded options**  
    
    **A. Value of open long option contracts**  
    $1,967,178,418 [7132]  
    
    **B. Value of open short option contracts**  
    $-1,873,859,694 [7133]  
    
11. **Net equities with other FCMs**  
    
    **A. Net liquidating equity**  
    $0 [7140]  
    
    **B. Securities representing investments of customers’ funds (at market)**  
    $0 [7160]  
    
    **C. Securities held for particular customers or option customers in lieu of cash (at market)**  
    $0 [7170]  
    
12. **Segregated funds on hand**  
    $2,021,461 [7150]  
    
13. **Total amount in segregation (add lines 7 through 12)**  
    $1,028,846,293 [7180]  
    
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**  
    $27,453,405 [7190]  
    
15. **Management Target Amount for Excess funds in segregation**  
    $13,000,000 [7194]  
    
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**  
   
   **Excess**  
   $14,453,405 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]

Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]