Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

<table>
<thead>
<tr>
<th>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</th>
<th>0 [7305]</th>
</tr>
</thead>
</table>

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

- **A. Cash** 13,638,204 [7315]
- **B. Securities (at market)** 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -1,580,153 [7325]

3. Exchange traded options

   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,058,051 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,623 [7351]

   Less: amount offset by customer owned securities 0 [7352] 2,623 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 12,060,674 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,060,674 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks

   - **A. Banks located in the United States** 3,578,524 [7500]
   - **B. Other banks qualified under Regulation 30.7** 1,651,605 [7520] 5,230,129 [7530]

2. Securities

   - **A. In safekeeping with banks located in the United States** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7** 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants

   - **A. Cash** 3,465,241 [7580]
   - **B. Securities** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7600]
   - **D. Value of long option contracts** 0 [7610]
   - **E. Value of short option contracts** 0 [7615] 3,465,241 [7620]

4. Amounts held by clearing organizations of foreign boards of trade

   - **A. Cash** 5,657,261 [7640]
   - **B. Securities** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation** 0 [7660]
   - **D. Value of long option contracts** 0 [7670]
   - **E. Value of short option contracts** 0 [7675] 5,657,261 [7680]

5. Amounts held by members of foreign boards of trade

   - **A. Cash** 325,929 [7700]
   - **B. Securities** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts** 0 [7720]
   - **D. Value of long option contracts** 0 [7730]
   - **E. Value of short option contracts** 0 [7735] 325,929 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,678,560 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,617,886 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,617,886 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **Cash**: \(821,020,035\) [7010]
   - **Securities (at market)**: \(101,030,307\) [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **Cash**: \(-38,499,905\) [7030]

3. Exchange traded options
   - **Add market value of open option contracts purchased on a contract market**: \(2,015,874,830\) [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**: \(-1,918,260,445\) [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **Cash**: \(981,164,822\) [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **Cash**: \(2,246,075\) [7045]
   - Less: amount offset by customer securities
     - **Cash**: \(-1,149,529\) [7047]
     - **Securities**: \(1,096,546\) [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **Cash**: \(982,261,368\) [7060]

7. Deposited in segregated funds bank accounts
   - **Cash**: \(230,708,690\) [7070]
   - **Securities representing investments of customers' funds (at market)**: \(15,000,000\) [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: \(8,003,599\) [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **Cash**: \(372,100,149\) [7100]
   - **Securities representing investments of customers' funds (at market)**: \(224,298,588\) [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: \(91,011,828\) [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **Cash**: \(-30,467,052\) [7130]

10. Exchange traded options
    - **Value of open long option contracts**: \(2,015,874,830\) [7132]
    - **Value of open short option contracts**: \(-1,918,260,445\) [7133]

11. Net equities with other FCMs
    - **Net liquidating equity**: \(0\) [7140]
    - **Securities representing investments of customers' funds (at market)**: \(0\) [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: \(0\) [7170]

12. Segregated funds on hand
    - **Cash**: \(2,014,880\) [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **Cash**: \(1,010,285,067\) [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **Cash**: \(28,023,699\) [7190]

15. Management Target Amount for Excess funds in segregation
    - **Cash**: \(13,000,000\) [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Cash**: \(15,023,699\) [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]