INITIAL
End Date:12/13/2018
Firm Name:WEDBUSH SECURITIES INC
Form:Daily Seg - FOCUS II
Submit Date:12/14/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or
   13,000,000
b. Minimum percentage of customer segregated funds required:% ; or
   0
   0
   0
   0

c. Dollar amount range between:and; or

d. Percentage range of customer segregated funds required between:% and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or
   1,000,000
b. Minimum percentage of customer secured funds required:% ; or
   0
   0
   0
   0

c. Dollar amount range between:and; or

d. Percentage range of customer secured funds required between:% and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount:  ; or
   0
b. Minimum percentage of cleared swaps customer collateral required:% ; or
   0
   0
   0
   0

c. Dollar amount range between:and; or

d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents
### INITIAL

**End Date:** 12/13/2018  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 12/14/2018

#### Daily Segregation - Secured Amounts

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Foreign Futures and Foreign Options Secured Amounts</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a</td>
<td>0 [7305]</td>
<td></td>
</tr>
<tr>
<td>government or a rule of a self-regulatory organization authorized thereunder</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1. Net ledger balance - Foreign Futures and Foreign Option Trading - All</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Customers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>13,661,988 [7315]</td>
<td></td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
<td></td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open futures contracts traded on a</td>
<td>-1,277,361 [7325]</td>
<td></td>
</tr>
<tr>
<td>foreign board of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Exchange traded options</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of</td>
<td>0 [7335]</td>
<td></td>
</tr>
<tr>
<td>trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of</td>
<td>0 [7337]</td>
<td></td>
</tr>
<tr>
<td>trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Net equity (deficit) (add lines 1, 2, and 3.)</td>
<td>12,384,627 [7345]</td>
<td></td>
</tr>
<tr>
<td>5. Account liquidating to a deficit and account with a debit balances -</td>
<td>2,066 [7351]</td>
<td></td>
</tr>
<tr>
<td>gross amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352]</td>
<td>2,066 [7354]</td>
</tr>
<tr>
<td>6. Amount required to be set aside as the secured amount - Net Liquidating</td>
<td>12,386,693 [7355]</td>
<td></td>
</tr>
<tr>
<td>Equity Method (add lines 4 and 5)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Greater of amount required to be set aside pursuant to foreign</td>
<td>12,386,693 [7360]</td>
<td></td>
</tr>
<tr>
<td>jurisdiction (above) or line 6</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cash in banks</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Banks located in the United States</td>
<td>3,773,929 [7500]</td>
<td></td>
</tr>
<tr>
<td>B. Other banks qualified under Regulation 30.7</td>
<td>1,652,661 [7520]</td>
<td>5,426,590 [7530]</td>
</tr>
<tr>
<td>2. Securities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. In safekeeping with banks located in the United States</td>
<td>0 [7540]</td>
<td></td>
</tr>
<tr>
<td>B. In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0 [7560]</td>
<td>0 [7570]</td>
</tr>
<tr>
<td>3. Equities with registered futures commission merchants</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>3,558,234 [7580]</td>
<td></td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7590]</td>
<td></td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7600]</td>
<td></td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7610]</td>
<td></td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7615]</td>
<td>3,558,234 [7620]</td>
</tr>
<tr>
<td>4. Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>5,617,278 [7640]</td>
<td></td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7650]</td>
<td></td>
</tr>
<tr>
<td>C. Amount due to (from) clearing organization - daily variation</td>
<td>0 [7660]</td>
<td></td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7670]</td>
<td></td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7675]</td>
<td>5,617,278 [7680]</td>
</tr>
<tr>
<td>5. Amounts held by members of foreign boards of trade</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>454,663 [7700]</td>
<td></td>
</tr>
<tr>
<td>B. Securities</td>
<td>0 [7710]</td>
<td></td>
</tr>
<tr>
<td>C. Unrealized gain (loss) on open futures contracts</td>
<td>0 [7720]</td>
<td></td>
</tr>
<tr>
<td>D. Value of long option contracts</td>
<td>0 [7730]</td>
<td></td>
</tr>
<tr>
<td>E. Value of short option contracts</td>
<td>0 [7735]</td>
<td>454,663 [7740]</td>
</tr>
<tr>
<td>6. Amounts with other depositories designated by a foreign board of trade</td>
<td>0 [7760]</td>
<td></td>
</tr>
<tr>
<td>7. Segregated funds on hand</td>
<td>0 [7765]</td>
<td></td>
</tr>
<tr>
<td>8. Total funds in separate section 30.7 accounts</td>
<td>15,056,765 [7770]</td>
<td></td>
</tr>
<tr>
<td>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>2,670,072 [7380]</td>
<td></td>
</tr>
<tr>
<td>10. Management Target Amount for Excess funds in separate section 30.7</td>
<td>1,000,000 [7780]</td>
<td></td>
</tr>
<tr>
<td>accounts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Excess (deficiency) funds in separate 30.7 accounts over (under)</td>
<td>1,670,072 [7785]</td>
<td></td>
</tr>
<tr>
<td>Management Target</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**
     - 855,314,579 [7010]
   - **Securities (at market)**
     - 92,298,681 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -22,112,094 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**
     - 1,930,296,426 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market**
     - -1,846,356,721 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,009,440,871 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,723,335 [7045]
   - Less: amount offset by customer securities
     - -643,198 [7047] 1,080,137 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,010,521,008 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **Cash**
     - 231,766,077 [7070]
   - **Securities representing investments of customers’ funds (at market)**
     - 15,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 1,052,257 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**
     - 398,758,392 [7100]
   - **Securities representing investments of customers’ funds (at market)**
     - 234,237,668 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)**
     - 89,196,361 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -21,246,733 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**
      - 1,930,296,426 [7132]
    - **Value of open short option contracts**
      - -1,846,356,721 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**
      - 0 [7140]
    - **Securities representing investments of customers’ funds (at market)**
      - 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 2,050,063 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,034,753,790 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 24,232,782 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 11,232,782 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0
   B. Securities (at market) 0

2. Net unrealized profit (loss) in open cleared swaps 0

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0
   B. Market value of open cleared swaps option contracts granted (sold) 0

4. Net Equity (deficit) (add lines 1, 2, and 3) 0

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0
   Less: amount offset by customer owned securities 0

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0
   B. Securities representing investments of cleared swaps customers' funds (at market) 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0
   B. Securities representing investments of cleared swaps customers' funds (at market) 0
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0

9. Net settlement from (to) derivatives clearing organizations 0

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0
    B. Value of open cleared swaps short option contracts 0

11. Net equities with other FCMs
    A. Net liquidating equity 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0