INITIAL
End Date: 12/14/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/17/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 13,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   
   A. Cash: 12,703,195 [7315]
   B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

   -994,145 [7325]

3. **Exchange traded options**

   a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**

   11,709,050 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**

   77,895 [7351]

   Less: amount offset by customer owned securities: 0 [7352] 77,895 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**

   11,786,945 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**

   11,786,945 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**

   A. Banks located in the United States: 3,112,516 [7500]
   B. Other banks qualified under Regulation 30.7: 1,649,161 [7520] 4,761,677 [7530]

2. **Securities**

   A. In safekeeping with banks located in the United States: 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**

   A. Cash: 3,605,725 [7580]
   B. Securities: 0 [7590]
   C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   D. Value of long option contracts: 0 [7610]
   E. Value of short option contracts: 0 [7615] 3,605,725 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**

   A. Cash: 5,547,007 [7640]
   B. Securities: 0 [7650]
   C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   D. Value of long option contracts: 0 [7670]
   E. Value of short option contracts: 0 [7675] 5,547,007 [7680]

5. **Amounts held by members of foreign boards of trade**

   A. Cash: 449,949 [7700]
   B. Securities: 0 [7710]
   C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   D. Value of long option contracts: 0 [7730]
   E. Value of short option contracts: 0 [7735] 449,949 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**

   0 [7760]

7. **Segregated funds on hand**

   0 [7765]

8. **Total funds in separate section 30.7 accounts**

   14,364,358 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

   2,577,413 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**

   1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

   1,577,413 [7785]
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: $884,835,139 (7010)
   - B. Securities (at market): $92,281,843 (7020)
2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $-69,479,018 (7030)
3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $1,830,839,876 (7032)
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $-1,721,873,822 (7033)
4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,016,604,018 (7040)
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,716,744 (7045)
   - Less: amount offset by customer securities: $-636,466 (7047) $1,080,278 (7050)
6. **Amount required to be segregated (add lines 4 and 5)**: $1,017,684,296 (7060)

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $258,699,957 (7070)
   - B. Securities representing investments of customers' funds (at market): $15,000,000 (7080)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $1,059,303 (7090)
8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $344,897,344 (7100)
   - B. Securities representing investments of customers' funds (at market): $259,099,132 (7110)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $89,196,279 (7120)
9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $-36,519,751 (7130)
10. **Exchange traded options**
    - A. Value of open long option contracts: $1,830,839,876 (7132)
    - B. Value of open short option contracts: $-1,721,873,822 (7133)
11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 (7140)
    - B. Securities representing investments of customers' funds (at market): $0 (7160)
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 (7170)
12. **Segregated funds on hand**: $2,026,261 (7150)
13. **Total amount in segregation (add lines 7 through 12)**: $1,042,424,579 (7180)
14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $24,740,263 (7190)
15. **Management Target Amount for Excess funds in segregation**: $13,000,000 (7194)
16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $11,740,263 (7198)
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]