INITIAL
End Date: 12/17/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/18/2018
FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 12,331,719 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -645,167 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.): 11,686,552 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 1,308 [7351]
   - Less: amount offset by customer owned securities: 0 [7352]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 11,687,860 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 11,687,860 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: 3,215,159 [7500]
   - B. Other banks qualified under Regulation 30.7: 1,654,108 [7520] 4,869,267 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 3,530,523 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 3,530,523 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 5,541,531 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 5,541,531 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 429,369 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 429,369 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 14,370,690 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 2,682,830 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,682,830 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: \[\text{706,326,375} \] [7010]
   - B. Securities (at market): \[\text{92,292,061} \] [7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market: \[-86,009,930 \] [7030]
3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: \[1,770,054,799 \] [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \[-1,546,764,056 \] [7033]
4. Net equity (deficit) (add lines 1, 2 and 3): \[935,899,249 \] [7040]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: \[1,663,657 \] [7045]
   Less: amount offset by customer securities: \[-583,256 \] [7047]
   \[1,080,401 \] [7050]
6. Amount required to be segregated (add lines 4 and 5): \[936,979,650 \] [7060]
   FUNDS IN SEGREGATED ACCOUNTS
7. Deposited in segregated funds bank accounts
   - A. Cash: \[236,922,998 \] [7070]
   - B. Securities representing investments of customers’ funds (at market): \[15,000,000 \] [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[1,052,099 \] [7090]
8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: \[274,495,718 \] [7100]
   - B. Securities representing investments of customers’ funds (at market): \[259,153,719 \] [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \[89,196,279 \] [7120]
9. Net settlement from (to) derivatives clearing organizations of contract markets: \[-139,013,736 \] [7130]
10. Exchange traded options
    - A. Value of open long option contracts: \[1,770,054,799 \] [7132]
    - B. Value of open short option contracts: \[-1,546,764,056 \] [7133]
11. Net equities with other FCMs
    - A. Net liquidating equity: \[0 \] [7140]
    - B. Securities representing investments of customers’ funds (at market): \[0 \] [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \[0 \] [7170]
12. Segregated funds on hand: \[2,043,683 \] [7150]
13. Total amount in segregation (add lines 7 through 12): \[962,141,503 \] [7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): \[25,161,853 \] [7190]
15. Management Target Amount for Excess funds in segregation: \[13,000,000 \] [7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: \[12,161,853 \] [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]