INITIAL
End Date: 12/18/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 12/19/2018
Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

> Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \[0\] [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: \[12,768,041\] [7315]
   - B. Securities (at market): \[0\] [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - \[-526,769\] [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \[0\] [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \[0\] [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - \[12,241,272\] [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - \[49,773\] [7351]
   - Less: amount offset by customer owned securities: \[0\] [7352] \[49,773\] [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - \[12,291,045\] [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - \[12,291,045\] [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \[3,677,789\] [7500]
   - B. Other banks qualified under Regulation 30.7: \[1,656,536\] [7520] \[5,334,325\] [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \[0\] [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \[0\] [7560] \[0\] [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: \[3,649,918\] [7580]
   - B. Securities: \[0\] [7590]
   - C. Unrealized gain (loss) on open futures contracts: \[0\] [7600]
   - D. Value of long option contracts: \[0\] [7610]
   - E. Value of short option contracts: \[0\] [7615] \[3,649,918\] [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \[5,603,311\] [7640]
   - B. Securities: \[0\] [7650]
   - C. Amount due to (from) clearing organization - daily variation: \[0\] [7660]
   - D. Value of long option contracts: \[0\] [7670]
   - E. Value of short option contracts: \[0\] [7675] \[5,603,311\] [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \[360,005\] [7700]
   - B. Securities: \[0\] [7710]
   - C. Unrealized gain (loss) on open futures contracts: \[0\] [7720]
   - D. Value of long option contracts: \[0\] [7730]
   - E. Value of short option contracts: \[0\] [7735] \[360,005\] [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - \[0\] [7760]

7. **Segregated funds on hand**
   - \[0\] [7765]

8. **Total funds in separate section 30.7 accounts**
   - \[14,947,559\] [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - \[2,656,514\] [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - \[1,000,000\] [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - \[1,656,514\] [7785]
## Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash: 737,334,824 [7010]
   - B. Securities (at market): 92,685,545 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - (748,216,541) [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,798,114,111 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,650,012,469 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 928,340,294 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,655,281 [7045]
   - Less: amount offset by customer securities: -570,860 [7047] 1,084,421 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 929,424,715 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 242,496,092 [7070]
   - B. Securities representing investments of customers' funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,042,099 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 280,032,912 [7100]
   - B. Securities representing investments of customers' funds (at market): 124,655,815 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 89,604,101 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 53,079,451 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,798,114,111 [7132]
    - B. Value of open short option contracts: -1,650,012,469 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 2,039,345 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 956,051,457 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 26,626,742 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 13,626,742 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]