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INITIAL End Date:1/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:1/3/2019 INITIAL End Date:1/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:1/3/2019 **Daily Segregation - Cover Page**

ny Segregation - Cover Page	
Name of Company	WEDBUSH SECURITIES INC
Contact Name	Kevin Wirth
Contact Phone Number	<u>312-784-0475</u>
Contact Email Address	<u>kevin.wirth@wedbush.com</u>
FCM's Customer Segregated Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer segregated funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer segregated funds required between:% and%.	<u>13,000,000</u> 0 0 0 0 0
FCM's Customer Secured Amount Funds Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of customer secured funds required:% ; or c. Dollar amount range between:and; or d. Percentage range of customer secured funds required between:% and%.	<u>1,000,000</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u> <u>0</u>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one): a. Minimum dollar amount: ; or b. Minimum percentage of cleared swaps customer collateral required:% ; or c. Dollar amount range between:and; or	0 0 0 0

<u>0 0</u>

d. Percentage range of cleared swaps customer collateral required between:% and%.

Attach supporting documents

INITIAL End Date:1/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:1/3/2019 **Daily Segregation - Secured Amounts** Foreign Futures and Foreign Options Secured Amounts Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers A. Cash B. Securities (at market) 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 3. Exchange traded options a. Market value of open option contracts purchased on a foreign board of trade b. Market value of open contracts granted (sold) on a foreign board of trade 4. Net equity (deficit) (add lines 1. 2. and 3.) Account liquidating to a deficit and account with a debit balances - gross amount 5. Less: amount offset by customer owned securities 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line
 6.

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

- A. Banks located in the United States
- B. Other banks qualified under Regulation 30.7
- 2. Securities

3.

- A. In safekeeping with banks located in the United States
- B. In safekeeping with other banks qualified under Regulation 30.7
- Equities with registered futures commission merchants
 - A. Cash
 - **B.** Securities
 - C. Unrealized gain (loss) on open futures contracts
 - D. Value of long option contracts
 - E. Value of short option contracts
- 4. Amounts held by clearing organizations of foreign boards of trade
 - A. Cash
 - B. Securities
 - C. Amount due to (from) clearing organization daily variation
 - D. Value of long option contracts
 - E. Value of short option contracts
- 5. Amounts held by members of foreign boards of trade
 - A. Cash
 - **B.** Securities
 - C. Unrealized gain (loss) on open futures contracts
 - D. Value of long option contracts
 - E. Value of short option contracts
- 6. Amounts with other depositories designated by a foreign board of trade
- 7. Segregated funds on hand
- 8. Total funds in separate section 30.7 accounts
- 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
- 10. Management Target Amount for Excess funds in separate section 30.7 accounts
- 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

- <u>3,281,185</u> [7500] <u>2,127,496</u> [7520] <u>5,408,681</u> [7530] <u>0</u> [7540]
- <u>o</u> [7560] <u>o</u> [7570]

0 [7305]

0 [7317]

0 [7335]

<u>0</u> [7337]

11,950,352 [7315]

-360,925 [7325]

11,589,427 [7345]

11,594,980 [7355]

11,594,980 [7360]

0 [7352] **<u>5,553</u> [7354]**

5,553 [7351]

- 3,749,496 [7580] 0 [7590] 0 [7600] 0 [7610] 0 [7615] 3,749,496 [7620]
- 5,080,494 [7640] 0 [7650] 0 [7660] 0 [7670] 0 [7675] 5,080,494 [7680]
- **73,402** [7700] **0** [7710] **0** [7720] **0** [7730] **0** [7735] **73,402** [7740] **0** [7760] **0** [7765] **14,312,073** [7770] **2,717,093** [7380]

INIT	IAL					
End	Date:1/2/2019					
Firm	Firm Name:WEDBUSH SECURITIES INC					
Form	n:Daily Seg - FOCUS II					
	mit Date:1/3/2019					
Dail	y Segregation - Segregation Statement					
	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)					
1.	Net ledger balance					
	A. Cash	<u>677,055,010</u> [7010]				
	B. Securities (at market)	<u>93,431,067</u> [7020]				
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>6,205,985</u> [7030]				
3.	Exchange traded options					
	A. Add market value of open option contracts purchased on a contract market	<u>1,977,995,797</u> [7032]				
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-1,908,041,899</u> [7033]				
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>846,645,960</u> [7040]				
5.	Accounts liquidating to a deficit and accounts with					
	debit balances - gross amount	<u>1,403,800</u> [7045]				
-	Less: amount offset by customer securities	<u>-306,184</u> [7047] <u>1,097,616</u> [7050]				
6.	Amount required to be segregated (add lines 4 and 5)	<u>847,743,576</u> [7060]				
-	FUNDS IN SEGREGATED ACCOUNTS					
7.	Deposited in segregated funds bank accounts					
	A. Cash	<u>241,702,366</u> [7070]				
	B. Securities representing investments of customers' funds (at market)	<u>15,000,000</u> [7080]				
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>1,039,924</u> [7090]				
8.	market) Marring on deposit with derivatives clearing arganizations of contract markets					
0.	Margins on deposit with derivatives clearing organizations of contract markets A. Cash	282 108 165 [7100]				
	B. Securities representing investments of customers' funds (at market)	<u>283,108,165</u> [7100] <u>169,379,658</u> [7110]				
	C. Securities held for particular customers or option customers in lieu of cash (at	<u>90,243,069</u> [7120]				
	market)	<u>30,243,003</u> [7120]				
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>3,622,573</u> [7130]				
10.	Exchange traded options	<u>0,022,010</u> [1100]				
	A. Value of open long option contracts	<u>1,977,995,797</u> [7132]				
	B. Value of open short option contracts	<u>-1,908,041,899</u> [7133]				
11.	Net equities with other FCMs	<u></u> []				
	A. Net liquidating equity	<u>0</u> [7140]				
	B. Securities representing investments of customers' funds (at market)	0 [7160]				
	C. Securities held for particular customers or option customers in lieu of cash (at	0 [7170]				
	market)					
12.	Segregated funds on hand	<u>2,148,074</u> [7150]				
13.	Total amount in segregation (add lines 7 through 12)	<u>876,197,727</u> [7180]				
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	28,454,151 [7190]				
15.	Management Target Amount for Excess funds in segregation	<u>13,000,000</u> [7194]				
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>15,454,151</u> [7198]				
	Excess					

INITIAL End Date:1/2/2019 Firm Name:WEDBUSH SECURITIES INC Form:Daily Seg - FOCUS II Submit Date:1/3/2019 Daily Segregation - Swaps Statement

FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA Cleared Swaps Customer Requirements 1. Net ledger balance A. Cash 0 [8500] B. Securities (at market) 0 [8510] 2. Cleared Swaps options 0 [8530] A. Market value of open cleared swaps option contracts purchased 0 [8530] B. Market value of open cleared swaps option contracts granted (sold) 0 [8570] 6. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8570] 7. Accounts liquidating to a deficit and accounts with 0 [8570] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590] 7. Deposited in cleared swaps customer Segregated Accounts 0 [8600] 8. Securities representing investments of cleared swaps customers in lieu of cash (at market) 0 [8620] 9. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts 0 [8630] A. Cash 0 [8640] 0 [8650] 9. Net settiment from (to) derivatives clearing organizations 0 [8620] 9. Net settiment from (to) derivatives clearing organizations 0 [8600] 0. Cleared swaps options 0 [8670] 0. Cleared swaps customers in lieu of cash (at market) 0 [8650]		AND	
1. Net ledger balance Q [8500] A. Cash Q [8510] B. Securities (at market) Q [8510] 2. Net unrealized profit (loss) in open cleared swaps Q [8520] 3. Cleared swaps options Q [8530] 4. Market value of open cleared swaps option contracts prachased Q [8540] 5. Market value of open cleared swaps option contracts granted (sold) Q [8560] 6. Net Equity (deficit) (add lines 1, 2, and 3) Q [8570] Q [8580] 7. Net logitaditing to a deficit and accounts with Q [8570] Q [8580] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) Q [8500] 7. Deposited in cleared swaps Customer Segregated accounts Q [8600] 8. Securities representing investments of cleared swaps customers' funds (at market) Q [8610] 9. Net settlement from (to) derivatives clearing organizations in cleared swaps customer segregated accounts Q [8630] 9. Net settlement from (to) derivatives clearing organizations Q [8660] 10. Cleared swaps options Q [8660] 11. Net equities with derivatives clearing organizations Q [8660] 12. <td></td> <td></td> <td></td>			
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B. Market value of open cleared swaps option contracts granted (sold) ⁰ [8540] 4. Net Equity (deficit) (add lines 1, 2, and 3) ⁰ [8550] 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer owned securities ⁰ [8560] 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) Funds in Cleared Swaps Customer Segregated accounts ⁰ [8600] 7. Deposited in cleared Swaps customer segregated accounts at banks A. Cash ⁰ [8600] 8. Margins on deposit with derivatives clearing organizations in cleared swaps customers segregated accounts ⁰ [8620] 8. Securities held for particular cleared swaps customers in lieu of cash (at market) ⁰ [8640] C. Securities held for particular cleared swaps customers in lieu of cash (at market) ⁰ [8660] ⁰ [8640] 9. Net settlement from (to) derivatives clearing organizations ⁰ [8660] 10. Cleared swaps customers in lieu of cash (at market) ⁰ [8670] ⁰ [8670] 9. Net settlement from (to) derivatives clearing organizations ⁰ [8670] 10. Cleared swaps customers in lieu of cash (at market) ⁰ [8670] ⁰ [8670] 10. Cleared s	3.	Cleared swaps options	
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS