WINJAMMER FILING

INITIAL
End Date: 1/4/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/7/2019
NAME OF COMPANY

WEDBUSH SECURITIES INC

CONTACT NAME

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CONTACT PHONE NUMBER

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or

b. Minimum percentage of customer segregated funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer segregated funds required between: % and %.

13,000,000

0

0

0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or

b. Minimum percentage of customer secured funds required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of customer secured funds required between: % and %.

1,000,000

0

0

0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or

b. Minimum percentage of cleared swaps customer collateral required: % ; or

c. Dollar amount range between: and; or

d. Percentage range of cleared swaps customer collateral required between: % and %.

0

0

0

0

ATTACH SUPPORTING DOCUMENTS
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,656,943 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 230,144 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,887,087 [7337]

5. Account liquidating to a deficit and account with a debit balances - gross amount 54,582 [7335]
   Less: amount offset by customer owned securities 0 [7352] 54,582 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,941,669 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,941,669 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 3,397,984 [7500]
   B. Other banks qualified under Regulation 30.7 2,137,267 [7520] 5,535,251 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,089,018 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,089,018 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 5,173,837 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 5,173,837 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 88,125 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 88,125 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,886,231 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,944,562 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,944,562 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 716,118,988 [7010]
   - B. Securities (at market): 86,218,801 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -15,693,600 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 2,024,494,540 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,932,436,212 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 878,702,517 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities: -308,765 [7047] 1,098,021 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 879,800,538 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 214,579,313 [7070]
   - B. Securities representing investments of customers’ funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 2,019,769 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 292,562,282 [7100]
   - B. Securities representing investments of customers’ funds (at market): 169,393,470 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 82,035,267 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 39,564,371 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 2,024,494,540 [7132]
    - B. Value of open short option contracts: -1,932,436,212 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 2,163,765 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 909,376,565 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 29,576,027 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 16,576,027 [7198]

Excess
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   
   A. Cash
   
   B. Securities (at market)
   
   2. **Net unrealized profit (loss) in open cleared swaps**
   
   3. **Cleared swaps options**
   
   A. Market value of open cleared swaps option contracts purchased
   
   B. Market value of open cleared swaps option contracts granted (sold)
   
   4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   
   5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   
   Less: amount offset by customer owned securities
   
   6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   
   Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   
   A. Cash
   
   B. Securities representing investments of cleared swaps customers' funds (at market)
   
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)
   
   8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   
   A. Cash
   
   B. Securities representing investments of cleared swaps customers' funds (at market)
   
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)
   
   9. **Net settlement from (to) derivatives clearing organizations**
   
   10. **Cleared swaps options**
   
   A. Value of open cleared swaps long option contracts
   
   B. Value of open cleared swaps short option contracts
   
   11. **Net equities with other FCMs**
   
   A. Net liquidating equity
   
   B. Securities representing investments of cleared swaps customers' funds (at market)
   
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)
   
   12. **Cleared swaps customer funds on hand**
   
   A. Cash
   
   B. Securities representing investments of cleared swaps customers' funds (at market)
   
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)
   
   13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
   
   14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
   
   15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
   
   16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**