INITIAL
End Date: 1/7/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/8/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $13,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
End Date: 1/7/2019  
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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash 11,723,872 [7315]  
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 113,388 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]  
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,837,260 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 55,710 [7351]  
   Less: amount offset by customer owned securities 0 [7352] 55,710 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,892,970 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,892,970 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks  
   A. Banks located in the United States 3,385,143 [7500]  
   B. Other banks qualified under Regulation 30.7 1,952,546 [7520] 5,337,689 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States 0 [7540]  
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash 4,109,003 [7580]  
   B. Securities 0 [7590]  
   C. Unrealized gain (loss) on open futures contracts 0 [7600]  
   D. Value of long option contracts 0 [7610]  
   E. Value of short option contracts 0 [7615] 4,109,003 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash 5,114,696 [7640]  
   B. Securities 0 [7650]  
   C. Amount due to (from) clearing organization - daily variation 0 [7660]  
   D. Value of long option contracts 0 [7670]  
   E. Value of short option contracts 0 [7675] 5,114,696 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash 91,283 [7700]  
   B. Securities 0 [7710]  
   C. Unrealized gain (loss) on open futures contracts 0 [7720]  
   D. Value of long option contracts 0 [7730]  
   E. Value of short option contracts 0 [7735] 91,283 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]  
7. Segregated funds on hand 0 [7765]  
8. Total funds in separate section 30.7 accounts 14,652,671 [7770]  
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,759,701 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]  
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,759,701 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. Net ledger balance
   - **A. Cash**: $683,417,708 [7010]
   - **B. Securities (at market)**: $91,166,631 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **-13,488,401** [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: $1,986,484,699 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $1,909,237,261 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **838,343,376** [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **1,391,234** [7045]
   - Less: amount offset by customer securities
     - **-284,721** [7047]
   - **1,106,513** [7050]

6. Amount required to be segregated (add lines 4 and 5)
   - **839,449,889** [7060]

7. Deposited in segregated funds bank accounts
   - **A. Cash**: $183,904,131 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: $15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $1,114,769 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: $325,475,986 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: $169,423,780 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $87,888,569 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **7,349,966** [7130]

10. Exchange traded options
    - **A. Value of open long option contracts**: $1,986,484,699 [7132]
    - **B. Value of open short option contracts**: $1,909,237,261 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand
    - **2,163,293** [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **869,567,932** [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **30,118,043** [7190]

15. Management Target Amount for Excess funds in segregation
    - **13,000,000** [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **17,118,043** [7198]

Excess
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]