INITIAL
End Date: 1/9/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/10/2019
FCM’s Customer Segregated Funds Residual Interest Target (choose one):  
a. Minimum dollar amount: $13,000,000 or  
b. Minimum percentage of customer segregated funds required: 0% or  
c. Dollar amount range between: $0 and $0 or  
d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):  
a. Minimum dollar amount: $1,000,000 or  
b. Minimum percentage of customer secured funds required: 0% or  
c. Dollar amount range between: $0 and $0 or  
d. Percentage range of customer secured funds required between: 0% and 0%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):  
a. Minimum dollar amount: $0 or  
b. Minimum percentage of cleared swaps customer collateral required: 0% or  
c. Dollar amount range between: $0 and $0 or  
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
## Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash
     - 12,138,649 [7315]
   - B. Securities (at market)
     - 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - -141,237 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade
     - 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade
     - 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 11,997,412 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 1,317 [7351]

Less: amount offset by customer owned securities
- 0 [7352] 1,317 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 11,998,729 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 11,998,729 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States
     - 3,324,712 [7500]
   - B. Other banks qualified under Regulation 30.7
     - 1,758,575 [7520] 5,083,287 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States
     - 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7
     - 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash
     - 4,270,376 [7580]
   - B. Securities
     - 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts
     - 0 [7600]
   - D. Value of long option contracts
     - 0 [7610]
   - E. Value of short option contracts
     - 0 [7615] 4,270,376 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash
     - 5,135,436 [7640]
   - B. Securities
     - 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation
     - 0 [7660]
   - D. Value of long option contracts
     - 0 [7670]
   - E. Value of short option contracts
     - 0 [7675] 5,135,436 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash
     - 168,457 [7700]
   - B. Securities
     - 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts
     - 0 [7720]
   - D. Value of long option contracts
     - 0 [7730]
   - E. Value of short option contracts
     - 0 [7735] 168,457 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 14,657,556 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,658,827 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 1,658,827 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash: $733,776,240 (7010)
   - B. Securities (at market): $99,839,903 (7020)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $2,958,892 (7030)

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $1,900,065,771 (7032)
   - B. Deduct market value of open option contracts granted (sold) on a contract market: $1,800,864,155 (7033)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $929,858,867 (7040)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - $1,376,840 (7045)
   - Less: amount offset by customer securities: $283,539 (7047)

6. **Amount required to be segregated (add lines 4 and 5)**
   - $930,952,168 (7060)

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - A. Cash: $193,558,780 (7070)
     - B. Securities representing investments of customers’ funds (at market): $15,000,000 (7080)
     - C. Securities held for particular customers or option customers in lieu of cash (at market): $1,031,741 (7090)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $399,295,190 (7100)
   - B. Securities representing investments of customers’ funds (at market): $169,444,273 (7110)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $96,645,171 (7120)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - ($18,300,987) (7130)

10. **Exchange traded options**
    - A. Value of open long option contracts: $1,900,065,771 (7132)
    - B. Value of open short option contracts: ($1,800,864,155) (7133)

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 (7140)
    - B. Securities representing investments of customers’ funds (at market): $0 (7160)
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 (7170)

12. **Segregated funds on hand**
    - $2,162,991 (7150)

13. **Total amount in segregation (add lines 7 through 12)**
    - $958,038,775 (7180)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - $27,086,607 (7190)

15. **Management Target Amount for Excess funds in segregation**
    - $13,000,000 (7194)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $14,086,607 (7198)
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5):
   - Deposited in cleared swaps customer segregated accounts at banks
     - A. Cash: 0 [8600]
     - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
     - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13):
    - Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

15. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management:
    - 0 [8770]