WINJAMMER FILING

INITIAL
End Date: 1/18/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/22/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: 13,000,000
b. Minimum percentage of customer segregated funds required: 0
   c. Dollar amount range between: 0 0
   d. Percentage range of customer segregated funds required between: 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: 1,000,000
b. Minimum percentage of customer secured funds required: 0
   c. Dollar amount range between: 0 0
   d. Percentage range of customer secured funds required between: 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: 0
b. Minimum percentage of cleared swaps customer collateral required: 0
   c. Dollar amount range between: 0 0
   d. Percentage range of cleared swaps customer collateral required between: 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

### 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

- **A. Cash:** 12,873,642 [7315]
- **B. Securities (at market):** 0 [7317]

### 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,257,906 [7325]

### 3. Exchange traded options

- **a. Market value of open option contracts purchased on a foreign board of trade:** 0 [7335]
- **b. Market value of open contracts granted (sold) on a foreign board of trade:** 0 [7337]

### 4. Net equity (deficit) (add lines 1, 2, and 3): 14,131,548

### 5. Account liquidating to a deficit and account with a debit balances - gross amount: 3,680

**Less: amount offset by customer owned securities:** 0 [7352] 3,680 [7354]

### 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 14,135,228 [7355]

### 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 14,135,228 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

#### 1. Cash in banks

- **A. Banks located in the United States:** 4,446,501 [7500]
- **B. Other banks qualified under Regulation 30.7:** 1,803,025 [7520] 6,249,526 [7530]

#### 2. Securities

- **A. In safekeeping with banks located in the United States:** 0 [7540]
- **B. In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

#### 3. Equities with registered futures commission merchants

- **A. Cash:** 5,190,515 [7580]
- **B. Securities:** 0 [7590]
- **C. Unrealized gain (loss) on open futures contracts:** 0 [7600]
- **D. Value of long option contracts:** 0 [7610]
- **E. Value of short option contracts:** 0 [7615] 5,190,515 [7620]

#### 4. Amounts held by clearing organizations of foreign boards of trade

- **A. Cash:** 5,223,508 [7640]
- **B. Securities:** 0 [7650]
- **C. Amount due to (from) clearing organization - daily variation:** 0 [7660]
- **D. Value of long option contracts:** 0 [7670]
- **E. Value of short option contracts:** 0 [7675] 5,223,508 [7680]

#### 5. Amounts held by members of foreign boards of trade

- **A. Cash:** 133,557 [7700]
- **B. Securities:** 0 [7710]
- **C. Unrealized gain (loss) on open futures contracts:** 0 [7720]
- **D. Value of long option contracts:** 0 [7730]
- **E. Value of short option contracts:** 0 [7735] 133,557 [7740]

#### 6. Amounts with other depositories designated by a foreign board of trade

- **A. Cash:** 0 [7760]
- **B. Securities:** 0 [7765]

#### 7. Segregated funds on hand

- **Total funds in separate section 30.7 accounts:** 16,797,106 [7770]

#### 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)

- **Excess (deficiency) Set Aside for Secured Amount:** 2,661,878 [7780]

#### 10. Management Target Amount for Excess funds in separate section 30.7 accounts

- **Management Target Amount:** 1,000,000 [7780]

#### 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

- **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target:** 1,661,878 [7785]
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Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash: 948,654,968 [7010]
   - B. Securities (at market): 103,095,418 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 20,657,461 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 1,790,640,541 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,755,346,775 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,107,701,613 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,900,199 [7045]
   - Less: amount offset by customer securities: -805,477
   - Result: 1,094,722 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,108,796,335 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   - A. Cash: 177,360,144 [7070]
   - B. Securities representing investments of customers' funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,037,763 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 458,510,305 [7100]
   - B. Securities representing investments of customers' funds (at market): 249,224,368 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 98,949,575 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: 96,991,628 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 1,790,640,541 [7132]
    - B. Value of open short option contracts: -1,755,346,775 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 3,108,080 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,135,475,629 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 26,679,294 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount:
    - Excess: 13,679,294 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]