INITIAL
End Date: 1/22/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/23/2019
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
**0 [7305]**

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   A. **Cash**  
   **13,355,902 [7315]**
   B. **Securities (at market)**  
   **0 [7317]**

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   **851,498 [7325]**

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade  
   **0 [7335]**
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   **0 [7337]**

4. **Net equity (deficit) (add lines 1. 2. and 3.)**  
   **14,207,400 [7345]**

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   **2,426 [7351]**

   Less: amount offset by customer owned securities  
   **0 [7352] 2,426 [7354]**

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   **14,209,826 [7355]**

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   **14,209,826 [7360]**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**  
   A. Banks located in the United States  
   **4,753,669 [7500]**
   B. Other banks qualified under Regulation 30.7  
   **1,803,986 [7520] 6,557,655 [7530]**

2. **Securities**  
   A. In safekeeping with banks located in the United States  
   **0 [7540]**
   B. In safekeeping with other banks qualified under Regulation 30.7  
   **0 [7560] 0 [7570]**

3. **Equities with registered futures commission merchants**  
   A. **Cash**  
   **4,825,757 [7580]**
   B. **Securities**  
   **0 [7590]**
   C. Unrealized gain (loss) on open futures contracts  
   **0 [7600]**
   D. Value of long option contracts  
   **0 [7610]**
   E. Value of short option contracts  
   **0 [7615] 4,825,757 [7620]**

4. **Amounts held by clearing organizations of foreign boards of trade**  
   A. **Cash**  
   **5,231,270 [7640]**
   B. **Securities**  
   **0 [7650]**
   C. Amount due to (from) clearing organization - daily variation  
   **0 [7660]**
   D. Value of long option contracts  
   **0 [7670]**
   E. Value of short option contracts  
   **0 [7675] 5,231,270 [7680]**

5. **Amounts held by members of foreign boards of trade**  
   A. **Cash**  
   **247,688 [7700]**
   B. **Securities**  
   **0 [7710]**
   C. Unrealized gain (loss) on open futures contracts  
   **0 [7720]**
   D. Value of long option contracts  
   **0 [7730]**
   E. Value of short option contracts  
   **0 [7735] 247,688 [7740]**

6. **Amounts with other depositories designated by a foreign board of trade**  
   **0 [7760]**

7. **Segregated funds on hand**  
   **0 [7765]**

8. **Total funds in separate section 30.7 accounts**  
   **16,862,370 [7770]**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   **2,652,544 [7780]**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    **1,000,000 [7780]**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    **1,652,544 [7785]**
**INITIAL**

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### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **Cash**: 784,335,906 [7010]  
   - **Securities (at market)**: 103,099,384 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: -7,972,748 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 1,850,800,215 [7032]  
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -1,760,943,458 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 969,319,299 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,803,856 [7045]  
   - **Less: amount offset by customer securities**: -713,357 [7047]  
   - **1,090,499** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 970,409,798 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **Deposited in segregated funds bank accounts**
     - **Cash**: 195,441,765 [7070]  
     - **Securities representing investments of customers’ funds (at market)**: 15,000,000 [7080]  
     - **Securities held for particular customers or option customers in lieu of cash (at market)**: 1,048,016 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 431,771,955 [7100]  
   - **Securities representing investments of customers’ funds (at market)**: 249,288,006 [7110]  
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 98,949,575 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -86,983,545 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts**: 1,850,800,215 [7132]  
    - **Value of open short option contracts**: -1,760,943,458 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0 [7140]  
    - **Securities representing investments of customers’ funds (at market)**: 0 [7160]  
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 3,101,793 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 997,474,322 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 27,064,524 [7190]

15. **Management Target Amount for Excess funds in segregation**: 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 14,064,524 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUND S IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash  0 [8500]
   B. Securities (at market)  0 [8510]

2. Net unrealized profit (loss) in open cleared swaps  0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  0 [8560]
   Less: amount offset by customer owned securities  0 [8570]  0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash  0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash  0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8650]

9. Net settlement from (to) derivatives clearing organizations  0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  0 [8670]
    B. Value of open cleared swaps short option contracts  0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity  0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash  0
    B. Securities representing investments of cleared swaps customers' funds (at market)  0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management  0 [8770]