INITIAL
End Date: 1/23/2019
Firm Name: WEGBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/24/2019
INITIAL
End Date: 1/23/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/24/2019
Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or 13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $ ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
INITIAL
End Date: 1/23/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/24/2019

Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 13,684,984 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 544,038 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2, and 3.) 14,229,022 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,588 [7352] 2,588 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 14,231,610 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 14,231,610 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 4,652,466 [7500]
   B. Other banks qualified under Regulation 30.7 1,807,765 [7520] 6,460,231 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 4,918,857 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 4,918,857 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 5,260,823 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 5,260,823 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 262,391 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 262,391 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 16,902,302 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,670,692 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,670,692 [7785]
**Segregation Requirements** (Section 4d(2) of the CEAct)

1. **Net Ledger Balance**
   - A. Cash: 743,323,839 [7010]
   - B. Securities (at market): 103,404,459 [7020]

2. **Net Unrealized Profit (Loss) in Open Futures Contracts Traded on a Contract Market**
   - -27,996,408 [7030]

3. **Exchange Traded Options**
   - A. Add Market Value of Open Option Contracts Purchased on a Contract Market: 1,861,427,021 [7032]
   - B. Deduct Market Value of Open Option Contracts Granted (Sold) on a Contract Market: -1,717,442,773 [7033]

4. **Net Equity (Deficit) (Add Lines 1, 2 and 3)**
   - 962,716,138 [7040]

5. **Accounts Liquidating to a Deficit and Accounts with Debit Balances - Gross Amount**
   - 1,917,168 [7045]

6. **Amount Required to be Segregated (Add Lines 4 and 5)**
   - 963,931,937 [7060]

**Funds in Segregated Accounts**

7. **Deposited in Segregated Funds Bank Accounts**
   - A. Cash: 192,684,810 [7070]
   - B. Securities Representing Investments of Customers' Funds (at Market): 15,000,000 [7080]
   - C. Securities Held for Particular Customers or Option Customers in Lieu of Cash (at Market): 1,052,674 [7090]

8. **Margins on Deposit with Derivatives Clearing Organizations of Contract Markets**
   - A. Cash: 291,198,086 [7100]
   - B. Securities Representing Investments of Customers' Funds (at Market): 249,308,066 [7110]
   - C. Securities Held for Particular Customers or Option Customers in Lieu of Cash (at Market): 99,244,066 [7120]

9. **Net Settlement from (To) Derivatives Clearing Organizations of Contract Markets**
   - -4,401,723 [7130]

10. **Exchange Traded Options**
    - A. Value of Open Long Option Contracts: 1,861,427,021 [7132]
    - B. Value of Open Short Option Contracts: -1,717,442,773 [7133]

11. **Net Equities with Other FCMs**
    - A. Net Liquidating Equity: 0 [7140]
    - B. Securities Representing Investments of Customers' Funds (at Market): 0 [7160]
    - C. Securities Held for Particular Customers or Option Customers in Lieu of Cash (at Market): 0 [7170]

12. **Segregated Funds on Hand**
    - 3,107,719 [7150]

13. **Total Amount in Segregation (Add Lines 7 through 12)**
    - 991,177,946 [7180]

14. **Excess (Deficiency) Funds in Segregation (Subtract Line 6 from Line 13)**
    - 27,246,009 [7190]

15. **Management Target Amount for Excess Funds in Segregation**
    - 13,000,000 [7194]

16. **Excess (Deficiency) Funds in Segregation Over (Under) Management Target Amount**
    - Excess: 14,246,009 [7198]
## STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
Funds in CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

### Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8570] 0 [8580]
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]