INITIAL
End Date: 1/24/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/25/2019
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder. 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   A. Cash  
   11,613,806 [7315]
   B. Securities (at market)  
   0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   743,458 [7325]

3. **Exchange traded options**
   a. Market value of open option contracts purchased on a foreign board of trade  
   0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade  
   0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   12,357,264 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   3,454 [7351]

   Less: amount offset by customer owned securities  
   0 [7352] 3,454 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   12,360,718 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   12,360,718 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   A. Banks located in the United States  
   2,870,266 [7500]
   B. Other banks qualified under Regulation 30.7  
   1,805,167 [7520] 4,675,433 [7530]

2. **Securities**
   A. In safekeeping with banks located in the United States  
   0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7  
   0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   A. Cash  
   4,996,836 [7580]
   B. Securities  
   0 [7590]
   C. Unrealized gain (loss) on open futures contracts  
   0 [7600]
   D. Value of long option contracts  
   0 [7610]
   E. Value of short option contracts  
   0 [7615] 4,996,836 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   A. Cash  
   5,222,128 [7640]
   B. Securities  
   0 [7650]
   C. Amount due to (from) clearing organization - daily variation  
   0 [7660]
   D. Value of long option contracts  
   0 [7670]
   E. Value of short option contracts  
   0 [7675] 5,222,128 [7680]

5. **Amounts held by members of foreign boards of trade**
   A. Cash  
   121,453 [7700]
   B. Securities  
   0 [7710]
   C. Unrealized gain (loss) on open futures contracts  
   0 [7720]
   D. Value of long option contracts  
   0 [7730]
   E. Value of short option contracts  
   0 [7735] 121,453 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   0 [7760]

7. **Segregated funds on hand**
   0 [7765]

8. **Total funds in separate section 30.7 accounts**
   15,015,850 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   2,655,132 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    1,655,132 [7785]
## Daily Segregation - Segregation Statement

### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 720,466,368 [7010]
   - B. Securities (at market): 105,897,637 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -3,802,874 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 1,851,710,047 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -1,759,045,079 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 915,226,099 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,811,157 [7045]
   - Less: amount offset by customer securities
     - -720,703 [7047] 1,090,454 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 916,316,553 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 183,376,155 [7070]
   - B. Securities representing investments of customers' funds (at market): 15,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 1,055,668 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 309,161,086 [7100]
   - B. Securities representing investments of customers' funds (at market): 229,383,378 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 101,743,913 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 8,517,088 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 1,851,710,047 [7132]
    - B. Value of open short option contracts: -1,759,045,079 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 3,098,056 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 944,000,312 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 27,683,759 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess**
    - 14,683,759 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - **A. Cash** 0 [8500]
   - **B. Securities (at market)** 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased** 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)** 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash** 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash** 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts** 0 [8670]
    - **B. Value of open cleared swaps short option contracts** 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash** 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8715]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8720]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]