INITIAL
End Date: 1/29/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/30/2019
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Daily Segregation - Cover Page

Name of Company

Firm Name: WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   13,000,000
b. Minimum percentage of customer segregated funds required: % ; or
   0

c. Dollar amount range between: and; or
   0 0

d. Percentage range of customer segregated funds required between: % and%.
   0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   1,000,000
b. Minimum percentage of customer secured funds required: % ; or
   0

c. Dollar amount range between: and; or
   0 0

d. Percentage range of customer secured funds required between: % and%.
   0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or
   0
b. Minimum percentage of cleared swaps customer collateral required: % ; or
   0

c. Dollar amount range between: and; or
   0 0

d. Percentage range of cleared swaps customer collateral required between: % and%.
   0 0

Attach supporting documents
# Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: **11,124,211** [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 1,095,950 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 12,220,161 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 4,543 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 4,543 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 12,224,704 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 12,224,704 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: **3,061,235** [7500]
   - B. Other banks qualified under Regulation 30.7: 2,779,618 [7520] 5,840,853 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 4,921,825 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 4,921,825 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 4,300,750 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 4,300,750 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 167,392 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 167,392 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: **15,230,820** [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: **2,006,116** [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 687,396,750 [7010]
   B. Securities (at market) 118,238,777 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 34,657,973 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 1,755,990,810 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -1,653,113,659 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 943,170,651 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 2,485,922 [7045]
   Less: amount offset by customer securities -708,889 [7047] 1,777,033 [7050]

6. Amount required to be segregated (add lines 4 and 5) 944,947,684 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   A. Cash 196,190,598 [7070]
   B. Securities representing investments of customers' funds (at market) 15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 1,055,668 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 311,503,143 [7100]
   B. Securities representing investments of customers' funds (at market) 229,446,695 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 114,017,347 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -2,731,544 [7130]

10. Exchange traded options
    A. Value of open long option contracts 1,755,990,810 [7132]
    B. Value of open short option contracts -1,653,113,659 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 3,165,762 [7150]

13. Total amount in segregation (add lines 7 through 12) 970,524,820 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 25,577,136 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 12,577,136 [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   
   - **A. Cash** 0 [8500]
   - **B. Securities (at market)** 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   
   0 [8520]

3. **Cleared swaps options**
   
   - **A. Market value of open cleared swaps option contracts purchased** 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)** 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   
   0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   
   0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   
   - **A. Cash** 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   
   - **A. Cash** 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   
   0 [8660]

10. **Cleared swaps options**
    
    - **A. Value of open cleared swaps long option contracts** 0 [8670]
    - **B. Value of open cleared swaps short option contracts** 0 [8680]

11. **Net equities with other FCMs**
    
    - **A. Net liquidating equity** 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8710]

12. **Cleared swaps customer funds on hand**
    
    - **A. Cash** 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)** 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)** 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    
    0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    
    0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    
    0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    
    0 [8770]