INITIAL
End Date: 1/31/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/1/2019
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer segregated funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer segregated funds required between: % and%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of customer secured funds required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of customer secured funds required between: % and%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or
b. Minimum percentage of cleared swaps customer collateral required: % ; or
c. Dollar amount range between: and; or
d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents
<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>11,483,173</td>
</tr>
<tr>
<td>B.</td>
<td>Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>2.</td>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>1,083,863</td>
</tr>
<tr>
<td>3.</td>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a.</td>
<td>Market value of open option contracts purchased on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>b.</td>
<td>Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>0</td>
</tr>
<tr>
<td>4.</td>
<td>Net equity (deficit)</td>
<td>12,567,036</td>
</tr>
<tr>
<td>5.</td>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>2,659</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>6.</td>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>12,569,695</td>
</tr>
<tr>
<td>7.</td>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>12,569,695</td>
</tr>
<tr>
<td>8.</td>
<td>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS</td>
<td></td>
</tr>
<tr>
<td>9.</td>
<td>Cash in banks</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Banks located in the United States</td>
<td>3,702,311</td>
</tr>
<tr>
<td>B.</td>
<td>Other banks qualified under Regulation 30.7</td>
<td>2,382,881</td>
</tr>
<tr>
<td>10.</td>
<td>Securities</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>In safekeeping with banks located in the United States</td>
<td>0</td>
</tr>
<tr>
<td>B.</td>
<td>In safekeeping with other banks qualified under Regulation 30.7</td>
<td>0</td>
</tr>
<tr>
<td>11.</td>
<td>Equities with registered futures commission merchants</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>5,176,942</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
<td>0</td>
</tr>
<tr>
<td>C.</td>
<td>Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>12.</td>
<td>Amounts held by clearing organizations of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>4,331,021</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
<td>0</td>
</tr>
<tr>
<td>C.</td>
<td>Amount due to (from) clearing organization - daily variation</td>
<td>0</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>13.</td>
<td>Amounts held by members of foreign boards of trade</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>149,326</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
<td>0</td>
</tr>
<tr>
<td>C.</td>
<td>Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>14.</td>
<td>Amounts with other depositories designated by a foreign board of trade</td>
<td></td>
</tr>
<tr>
<td>A.</td>
<td>Cash</td>
<td>0</td>
</tr>
<tr>
<td>B.</td>
<td>Securities</td>
<td>0</td>
</tr>
<tr>
<td>C.</td>
<td>Unrealized gain (loss) on open futures contracts</td>
<td>0</td>
</tr>
<tr>
<td>D.</td>
<td>Value of long option contracts</td>
<td>0</td>
</tr>
<tr>
<td>E.</td>
<td>Value of short option contracts</td>
<td>0</td>
</tr>
<tr>
<td>15.</td>
<td>Segregated funds on hand</td>
<td>0</td>
</tr>
<tr>
<td>16.</td>
<td>Total funds in separate section 30.7 accounts</td>
<td>15,742,481</td>
</tr>
<tr>
<td>17.</td>
<td>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</td>
<td>3,172,786</td>
</tr>
<tr>
<td>18.</td>
<td>Management Target Amount for Excess funds in separate section 30.7 accounts</td>
<td>1,000,000</td>
</tr>
<tr>
<td>19.</td>
<td>Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target</td>
<td>2,172,786</td>
</tr>
</tbody>
</table>
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash**: 702,154,247 [7010]
   - **B. Securities (at market)**: 120,414,161 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   - **Total**: 56,570,084 [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: 1,843,828,653 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -1,760,809,697 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   - **Total**: 962,157,448 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - **Less: amount offset by customer securities**: -739,046 [7047]

6. Amount required to be segregated (add lines 4 and 5)
   - **Total**: 963,241,840 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - **A. Cash**: 210,589,467 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 15,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 1,032,369 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: 344,976,814 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 214,474,261 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 116,215,754 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   - **Total**: 1,736,411 [7130]

10. Exchange traded options
    - **A. Value of open long option contracts**: 1,843,828,653 [7132]
    - **B. Value of open short option contracts**: -1,760,809,697 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand
    - **Total**: 3,166,038 [7150]

13. Total amount in segregation (add lines 7 through 12)
    - **Total**: 990,210,070 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    - **Excess**: 26,968,230 [7190]

15. Management Target Amount for Excess funds in segregation
    - **Excess**: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Excess**: 13,968,230 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDs IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements
1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
   Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market)
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]