INITIAL
End Date: 2/4/2019
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 2/5/2019
FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $19,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,519,094 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 1,250,962 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 12,770,056 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,303 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,303 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5) 12,773,359 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 12,773,359 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 3,746,754 [7500]
   B. Other banks qualified under Regulation 30.7 2,375,275 [7520] 6,122,029 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,287,978 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,287,978 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 4,241,241 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 4,241,241 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 262,373 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 262,373 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,913,621 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
   Page 1 from Line 8) 3,140,262 [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,140,262 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash
      542,086,562 [7010]
   B. Securities (at market)
      120,458,088 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   121,799,152 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market
      1,820,269,629 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market
      -1,727,163,116 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   877,450,315 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   2,525,915 [7045]
   Less: amount offset by customer securities
   -798,378 [7047]
   1,727,537 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   879,177,852 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash
      210,215,157 [7070]
   B. Securities representing investments of customers' funds (at market)
      15,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      1,045,075 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash
      277,835,301 [7100]
   B. Securities representing investments of customers' funds (at market)
      189,545,390 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)
      116,215,754 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   774,312 [7130]

10. Exchange traded options
    A. Value of open long option contracts
        1,820,269,629 [7132]
    B. Value of open short option contracts
        -1,727,163,116 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity
        0 [7140]
    B. Securities representing investments of customers' funds (at market)
        0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)
        0 [7170]

12. Segregated funds on hand
    3,197,259 [7150]

13. Total amount in segregation (add lines 7 through 12)
    906,934,761 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    27,756,909 [7190]

15. Management Target Amount for Excess funds in segregation
    19,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    8,756,909 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
   - C. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

4. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

5. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

6. **Amount required to be segregated for cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

7. **Amount required to be segregated for cleared swaps customer segregated accounts at derivatives clearing organizations**
   - A. Value of open cleared swaps long option contracts: 0 [8630]
   - B. Value of open cleared swaps short option contracts: 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

8. **Margins on deposit with other FCMs**
   - A. Net liquidating equity: 0 [8690]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

9. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8715]

10. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8720]

11. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]